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STRATEGIC DIVISION

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## Mathematical athematical Models for Ground for Ground Combat

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April 1957

by

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ANALYTICAL MOALLING THE RECEPTED PROBLEM: BALANCE OF SPEED VS VILLEGALITY

by

George A. Gamow and Richard E. Zimmerman

Reprinted from Unpublished Notes of the Authors
Dated 13 January 1952

#### ANATYPY CAL "CATATIO THE RESERVE" PROBLEM (Balance of speed vs. Vulnerability)

the of the important fontures of the Monte Carlo war-games problem is the calling of rea the by the units which encounter This will be accomplished by buildauserior enamy forcas. any into the strategy of the game, a special provinc according To which friendly forces located in the violaity of the unit calling for help will get a preferential motion towards the trouble spot. Whereas, in the case of complicated situations which may be encountered in such war games the analysis can be carried out only by Monta Carlo method, it is interesting to consider some highly simplified cames which permit purely analytical colutions.

We take here, as an example, a linear case in which two

HEAVY \$310W opposing forces send out angiven D artin ex N D

fraction of their total strength to meet the enemy at a polyb located half way between them. It will be assumed that the opposing forces cosprime anual number of units. but that, whereas the force H consists of glow heavily armoved units, the water forming the force L are faster but carry loss armor. Thus,

if Vh and The are the speeds of heavy and light units we have

$$\frac{v_1}{v_h} > 1 \tag{1}$$

The effect of armor (and armonent) in the racturized by the numerical relates of the coefficients  $K_{11}$  and  $K_{22}$  in the Landhester equations:

where h and 1 denote the number of heavy and light units participating in any given engagement. . We hake:

$$\frac{K_1}{K_h} < 1 \tag{3}$$

We will assume that when the advanced units of f. " we encounter the advanced units of H-foresa, and ind themselves in a lowing position (because  $K_1 \ll K_h$ ), light reserve (the entire-remaining force) will be immediately called  $L_h$ . In respect to H-forces we will consider the extreme causes:

- (a) Complete retailinance concerning the movements of L-reserves. In this case in Azarves will be called in simulataneously with L-reserves, but will arrive nomewhat later because of their longs are in
- (b) Complete lack of intelligence, in which case Hereserves will be sent in only when Lereserves arrive on the buttlefield.

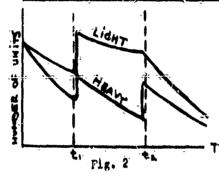
Thus, where D-is the distance of the reserves from the .

battlefield, H-reserves will arrive at timus:

ot

$$\mathbf{t}_{\mathbf{x}}^{i} = \frac{\mathbf{t}}{\mathbf{v}_{\mathbf{h}}^{i}} + \frac{\mathbf{p}}{\mathbf{v}_{\mathbf{h}}^{i}} \tag{hb}$$

depending on Herntelligence. If after the arrival of Hereserve the total number of H and I, units will gathery the Linchester's balance condition, both forces will continue to lose equally percentage wise, and the battle will become draw. We want to find the relations between the K./K. And Vi/Vn ratios which would lead to a draw for various values of of and D.



A schematic picture of the change in the numbers h and 1 of the battling heavy and light forces as given in Fig. 2.

Differentiating each of the equations (2) with respect to time end.
Innerting the other we obtain:

HI1010

ll

If we measure time in K units, which can be called one slash equations (Sub) becomes:

$$\frac{d^2h}{dr^2} = h$$

$$\frac{d^2l}{dr^2} = l \qquad (7 = t \cdot \sqrt{K_4 \cdot K_h}) \qquad (6b)$$

and have the noiutions:

$$h = ae^{-T} + 8e^{+T}$$
 (7a)  
 $l = ce^{-T} + de^{+T}$  (7b)

Where four coefficients must be determined by the initial conditions.

(7b)

AS TOO WO haves

$$h_a = a + b = \alpha N$$
 (8a)

$$l_0 = c + d = \propto N \qquad (8b)$$

$$\left(\frac{dh}{dT}\right) = -a + b = -\beta \propto N \quad (60)$$

$$\left(\frac{d^2}{dT}\right)_0 = -c + d = -B \propto N$$
 (8d)

$$\beta = \sqrt{\frac{K_h}{K_a}} \tag{9}$$

Determining sair coefficients we gets

$$\alpha = \frac{1}{2} \propto N \left( 1 + \beta^{-1} \right) \tag{10a}$$

$$\bar{\mathcal{L}} = \frac{1}{2} \times N \left( 1 - \beta^{-1} \right) \tag{10b}$$

$$C = \frac{1}{2} \propto N(1+\beta) \tag{100}$$

$$d = \frac{1}{2} \propto N \left( 1 - \beta \right) \tag{10d}$$

Thus, immediately after the arrival of light reservens

$$h_{1} = \frac{1}{2} \times N\{(1+\beta^{-1})e^{-\frac{1}{2}} + (1-\beta^{-1})e^{-\frac{1}{2}}\}$$

$$e^{-\frac{1}{2}} \times N\{(1+\beta)e^{-\frac{1}{2}} + (1-\beta)e^{-\frac{1}{2}} + 2\frac{1-\kappa}{\kappa}\}$$
(13b)

The Languater equations are now applied to the time interval

writing;

$$h_1 = a + \ell \tag{22a}$$

$$\ell_1 = c + d \tag{22b}$$

$$\frac{(ab)}{dT} = -a + b = -\beta^{-1} L_1$$
 (126)

$$(47)_1 = -c + d = -\beta h_1$$
 (180)

Solving these equations we get:
$$\alpha = \frac{1}{2} \left( \ln q + \beta^{-1} \mathcal{L}_{1} \right) \tag{238}$$

$$A = \frac{1}{2} (h_1 - \beta^{-1} l_1)$$

$$C = \frac{1}{2} (l_1 + \beta h_1)$$
(136)

$$d = \frac{1}{2} (l_4 - \beta h_4)$$
 (13d)

Thus at Tale, after arrival of H-reserves, we obtain:

The condition for belance for \$7 > 72 are:

$$\left(\frac{1}{h_{a}}\frac{dh_{a}}{dT}\right)_{T \Rightarrow T_{a}} = \left(\frac{1}{\rho_{a}}\frac{dl_{2}}{dT}\right)_{T \Rightarrow T_{2}}$$
(15)

Substituting from (Clia) and (11b) and simplifying we finally obtain:

$$\sqrt{\frac{k_{I}}{K_{h}}} = \frac{1 + \frac{1 - \alpha}{\alpha} e^{-T_{I}}}{1 + \frac{1 - \alpha}{\alpha} e^{-T_{I}}}$$
(16)

which is the desired result.

In order to reproduce this result graphically, we introduce the notion of the mean time required by the reserves to respond to the call for help. Thus:

$$\overline{T} = \sqrt{T_1 \cdot T_2} \tag{17a}$$

with perfect intelligence, and

with no intelligence.

This characterises the average distance of reserves from the battlefield. For each given Two calculate the values of K1/Kn as-

Heavy curves in Fig. 3 correspond to the assumption 0(11/3, whereas the light curves to 0(12/3. Continuous and broken lines correspond to the above mentioned cases of perfect intelligence, and its complete absence (call for help).

We notice first of all that, we expected, the values of  $K_1/K_h$  needed for balance degreese with the increasing values of  $V_1/V_h$ . If the curve representing the actual relation between the effectiveness and mobility of the units runs steeper than the curves shown in Fig. 3 heavier units should be chosen in 're-ference to heavy ones. If the actual curve runs less steep lighter units should be preferred. The curves of the Fig. 3' can be also used to select a given T (i.e. the distance of the reserves from the intilogically which will be advantageous for any set of  $V_3$  and  $K_4$ . A more detailed comparison with military units of various stands of the riven in the next report.

wherever in the case of perfect intelligence, equality of velocities (V\_N) = 1) leads to equality of effectiveness (N/N) = 1), it is not at all ro when the intelligence is lacking and reserves are called in only when higher losses are suffered on the tattlefield. Thus, for example, we see that, for V\_ = V\_ and I = 0.7, light forces will have the edge of the bacule even though their effectiveness is below 60 percent of the effectiveness of heavy forces. This apparent paradox is, of course, nothing but the consequence of the old divide at imperal principle. Indeed, since in this case light reserves are called in immediately after the encounter of advanced units takes place, whereas the heavy reserves attent only after light reserves agrived to the battlefield, the advanced heavy forces will be out numbered by lights during the middle part of the battle, and may be substantially decreased before

the size heavy reinforcements come in. By the time the heavy reserves arrive, they find practically nothing left to be reinforced and run into superior force of lights; that loxing the conlicte lattice.

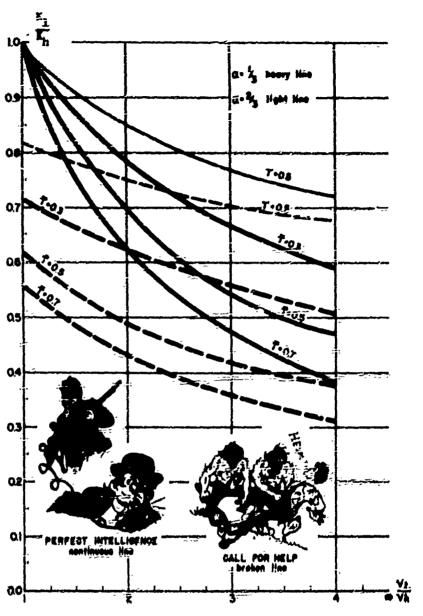


Fig. 3

#### A HOREL FOR THE ETUDY OF TACTICAL RESERVE FORMATIONS

by

George A. Sasow and Richard E. Zissersan

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#### A MODEL FOR THE STUDY OF TACTICAL RESERVE FORMATIONS

#### Introduction

The conventional defensive disposition of US troops in the combat some has been for several decades specified as "\$ up and 1 back." This means that, in the Infantry Division; \$ of the 3 infantry regiments are often put on the MIR while one is held in reserve. The same can often be said-about the 3 infantry battalions in each regiment, or the 3 infantry companies in each infantry battalion.

However, the threat of massed attacks by the Russians: in the future, together with possible tactical changes induced by the threat of use of tactical A-weapons, suggests:
that a reexamination of our basic tactical concepts and formations is in order.

Indeed, both the Russians and the Germans on the Eastern Front in WW II were led to adopt defensive formations other acturised by multiple lines and deployed in great depth, often to as much as IQ-Kilcheters or more. This was evidently in response to the use, by both sides, of doncentrated armorminfantry thrusts, and had no clear parallel in operations on the Western Front.

As parts of a general reexamination of Mir current doctrine, we consider the utility of models, based on the Lanchester equations, which can later be extended to greater detail by making use of the GEDA Analogue Computer now being acquired by COMPLAB.

Herein and intriguing calculation growing out of the above program.

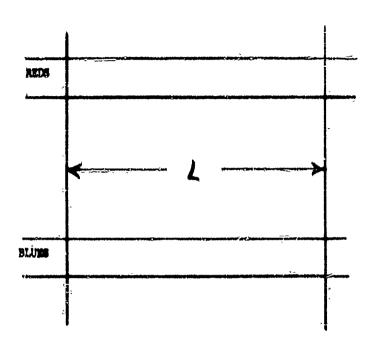
Our thanks go to Mr. E. Lee (COMPLAB) who computed the curves with the great accuracy required to find the optimum values.

#### Disquasions

In order to treat defensive formations in a rational manner, we construct a simple model of these formations. For purposes of this discussion, we are willing to consider that the troops on the MLR are distributed along one line, and the tactical reserves along a second line. The adequacy of this model is not considered at this point.

We imagine that all the Red forces face all the Blue forces along an infinite homogeneous fronts, (see Figure 1). We further suppose that their relative strengths are so balanced that, were the Red forces to attack within any sector of front L yards without further concentration, then the resulting battle would be a draw-i.e., percentage lesses on

The results of the calculations in this memo turn out to require usually, a front of 20 miles or less. The Minfinite front is mentioned here for mathematical reasons.



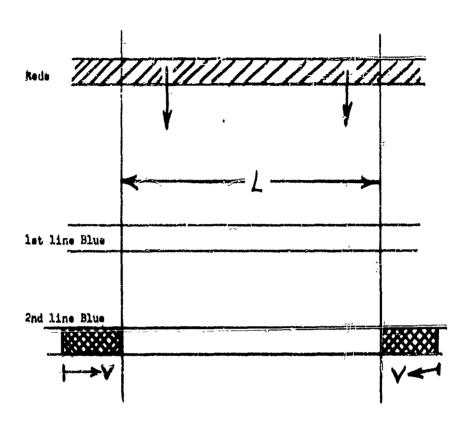
Section of front line showing Blues and Reds facing each other before the Red assault.

Figure 1

both sides are equal at any stage of the battle and at the end of the assault both sides would have been destroyed within this attack sector. Note that this must mean that the field forces outhweeld the Blues by some factor, since the defenders are in a stronger position than the attackers.

Our problem is defined by inquiring whether any advantage would accrue to the defenders if they were to split their forces into two lines, one behind the other, in some definite ratio of strengths (Figure 2). The Blues could then take advantage of the delay in the advance of the Red forces (occasioned by their battle with the first defensive line) to reinforce the second line directly behind the threatened front by drawing in troops from adjacent portions of the second line. The reinforcing troops are assumed to move with some fixed speed, V. We shall show that such a splitting of the defending force permits the defenders to destroy all the attacking force without lessing all their own men.

We take the Lanchester equations as describing the course of the battle: The mathematical derivation is given in the Appendix. Here, only the results are discussed. We will use solutions of these equations to construct graphs of the following characters we plot the number of Blue men lost at the end of the attack (expressed in quite of the number of Blue men initially in the sector of width L) on the ordinate against the percentage of



Troops in order hatched area are able to reach threatened area during time Red forcer are defeating first line.

Figure 2

initial file strength disposed in the second line (Figure 3).

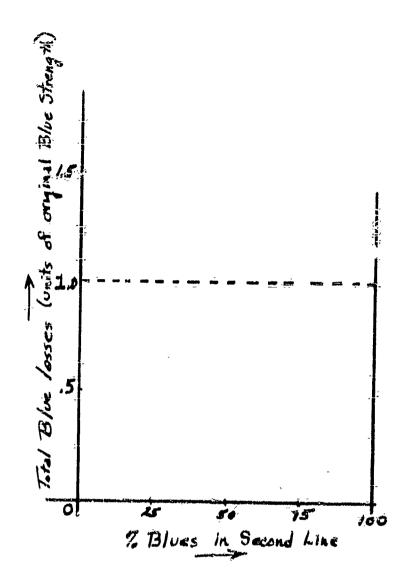
We shall get a different curve for each value of the speed V, assumed for the movement of the reserves.

For simplicity we make several additional assumptions which can be modified later:

- 1. The assumed equality of the Red and Blue strength (Red) on offensive, Blue on defensive) will be expressed, for purposes of the mathematical calculations, as asserting each wide has equal numbers of troops, each with the same killing power.
- 2. The battle between the attacking Reds and the first Blue line is not over until all the Blues in the first line are killed.
- 3. The time for the Reds to move from the first line after ending that battle, till they assault the second line, will be ignored.
- 4. Novement of Blue reserves into the second battle line stops when the second battle dommendes.

With these assumptions, the total number of troops lest by the Blues can be calculated for any value of the various genstants and the desired graphs can be constructed. Selected curves of the results are shown in Figure 4.

While it is possible to express these results in the dimensicnless form shown in the Appendix, the curves may be made more #See discussion following equation (14) in Appendix.



A figure showing what parameters are used in displaying the main results.

Figure 3

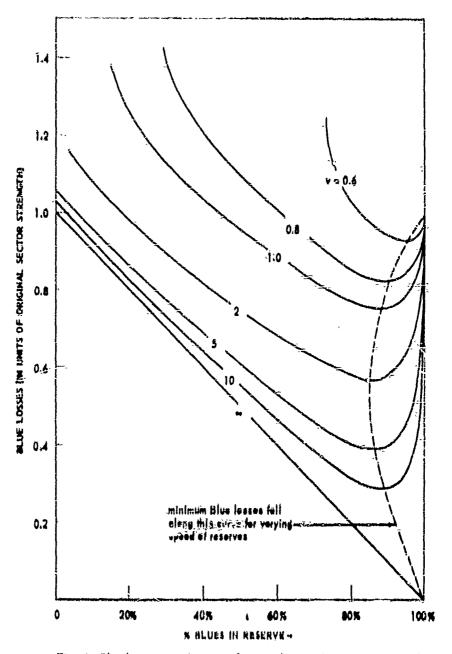


Fig. 4-Blue losses us a function of 3 initial strength put in reserve and for various assumed speeds of movement of reserves. Reds annihilated.

meaningful by assuming arbitrarily (a) that the assault sector is 1 mile in width, (b) instially contains 1000 Blue soldiers and 1000 Red soldiers, and (c) the killing power of each soldier in this sector is, on the average at the rate of 1 enemy soldier per hour.\*\* Then, on the graph, V = 1 is interpreted as V = 1 mph, V = 5 as 5 mph, etc. Other choices for the value of the constants mentioned in (a), (b) and (c) above will simply alter the action speed denoted by V = 1, V = 5 at c, but we will show that it will not change the interesting character of the feaults.

#### Conclusions for this first battle:

The intriguing characteristic of the results is that the cotimum Blue strategy to every case favors putting the culk of its troops (86% or more) in the reserves no matter what the speed with which its reserves may be moved. This is to be considered in terms of the doctrine of Two up and one backs.

Obviously, with such a simple model, the drawing of conclusions is dangerous. Four statements suggest themselves.

- (1) The model is perhaps more a picture of the relation of the OPIR to the MIR, than or the MUR to the reserves or to a second defensive line.
- (2) The disposition of US troops, conventionally doployed, must be studied more thoroughly to permit a valid comparison with the results obtained here.

which these units, a battle between 1000 Reds and 500 Blues lasts 33 minutes.

- (3) One or more <u>casential</u> elements are lacking anothermodel.
- (4) Study of more detailed; realistic models should be of interest.

#### The case of enemy superiority

In this battle, everything will be as before except that the Neds (attackers) are assumed to have 3 times the combat effectiveness of the Blues (defenders). Note again that this may require the Reds to outnumber the Blues by a still larger factor, due to the greater combat effectiveness of the entrenched defenders, per man. The result of this set of battles is given in two different forms.

erves must move, in order that the attackers are totally destroyed along with all the defenders committed to battle, as a function of the mirrorntage of Blues originally in reserve.

b. Figure 6 gives the total-five loses as a function of the percentage of aluga initially in reserve for a fixed speed for the reserves of 20 miles per hour, using the same units as for the first battle where applicable. These are:

Initial Blue strength .......600 men

Killing power rate, per man, (either mide).... 1 man per hour

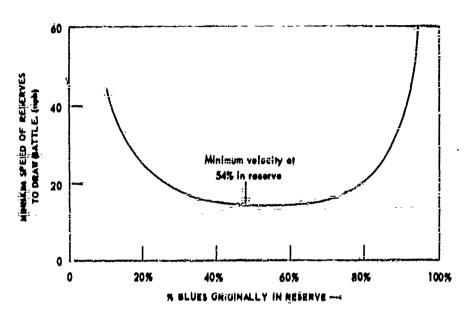


Fig. 5—Minimum speed with which reserves must move to annihilate reds; (i.e., blues are themselves ennihilated).

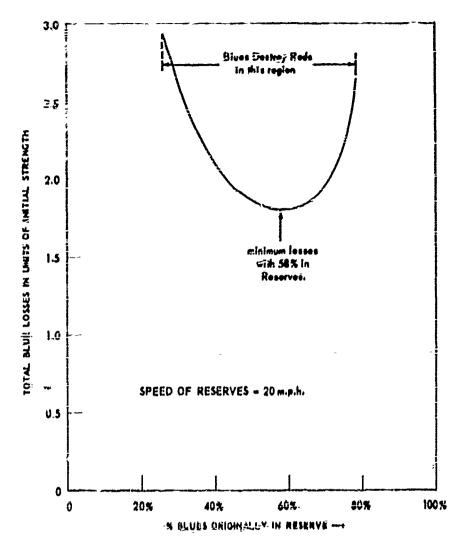


Fig. 6—Blue (defenders) losses versus percentage of Blues originally in reserve when the Reds (attackers) have three times the combat effectives ness of the Blues.

The first results show an optimum Blue strategy of about 34% in reserve: In this case optimum strategy is taken as favoring that percentage which yields a draw battle with the minimum speed of the reserves. As the Figure 5 shows, that minimum speed is about 14.5 in dimensionless units; or in terms of the same parameters used on page 7, 14.5 miles/hour.

This certainly indicates a limitation on the generality of the results found in the first battle (1:1 odds) where the optimum blue strategy was found to be 84% in reserve or over, for all speeds.

The results on Figure 6 show the optimum Blue strategy in the face of 3:1 odds with the speed fixed at V = 20 mph.

The optimum strategy still favors about 59% in the reserves.

Thus the optimum blue strategy favors large freetions in reserve when the opposing sides are more nearly-equal and less in reserve as the enemy superiority-increases.

#### MATRIMATICAL APPINDIZ

#### Introductions

The Lanchester equations are a very simple formulation of the progress of a battle. They are discussed in many papers (See for example the proceding paper by George Gamow and Richard Zimmorman). They appear initially as follows:

If R = number of Red fighting units at any time

B = number of Blue fighting units at any time

k<sub>r</sub> = number of <u>Blue fighting units killed by s20h</u> red fighting unit per unit time

kb = number of Red fighting units killed by <u>each</u> Blue fighting unit per unit time

(2) Then dB ===kbB

There are various forms that the solution of these equations dan take. Here we derive only those required for the problem.

We note that we must answer 3 questions about each choice of the percentage of Blue truope to be put in reserve in order to determine the corresponding Blue losses.

1. How many Red troops are left after annihilating the <u>Plus troops</u> in the first line? (This gives the number of Reds which essault the second line, hereinafter denoted by Ro2).

- 2. How long does this first battle take? (This is needed to calculate how many additional Blue troops can be moved into the threatened portion-of the second line before the second battle starts. We will denote the number of blue troops startsing the second battle by \$02).
- 3. How many Blue troops are left (if any) at the end of the second battle? (The difference between the number of Blue survivors, Bg, and the total number of Blues committed gives the number of Blues lost in the overall engagement and is the ordinate of the desired ourves.)

To answer the <u>let</u> and <u>3rd</u> questions we use the so-called "Square law." To get this we divide the two differential equa-

Separating the variables and integrating from the start of the battle to any later time gives the square law:

(4) 
$$R_0^{\frac{7}{2}} - R^2 = \frac{k_F}{R_b}$$
  $(B_0^2 - B^2)$ 

where Rol = initial number of Reds in first battle.

Bol - initial number of Blues in first battle.

#### Solutions for the first battle

For the first battle simplifying assumptions are that

and B = O (The Blues in the first line are annihilated)

or (5) 
$$R_{01}^2 - R^2 = -B_{01}^2$$

can be solved to answer the first question (and the third question if we interchange the Reds and Sives) as soon as we know the initial numbers of troops entering these battles.

To answer the addond question, "How long does the first battle last?", we return to the original equations and integrate eq. (2). The general solution is:

where :K m 
$$\sqrt{k_{rkb}}$$

and a, b are constants to be determined by the boundary conditions. The appropriate boundary conditions are:

(7) 
$$B(t = 0) = B_{01} = a + b$$

Setting K = kr = 1 by our assumption we get from substituting (7) in (8);

or (9) 
$$a = \frac{1}{2}(p_{01} - R_{01})$$

and substituting back into (7) gives

(10) 
$$b = \frac{1}{2} (B_{01} + R_{01})$$

The desired solution is then

when 
$$B = 0$$

Then, 0 \* 1(Bol-Mol)e" + 1 (Bol + Rol)e"

or milestring thru by of and congelling the hi

$$\bar{Q} = (\bar{B}_{01} - \bar{R}_{01}) e^{2T} + (\bar{B}_{01} + \bar{R}_{01})$$

or 
$$T = \frac{1}{2} \ln \left( \frac{R_{o1} + R_{o1}}{R_{o1} - R_{o1}} \right)$$

Dividing thru the numerator and denominator of the argument of the logarithm, by Bol gives

(12) 
$$T = \frac{1}{2} \ln \frac{r+1}{r-1}$$
where 
$$r = \frac{R_{01}}{r_{01}}$$

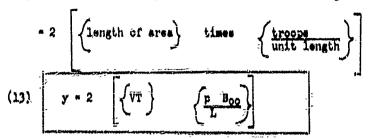
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ria the factor-by-which the blues are cutnumbered in the first-battle.

Equation (5) and (12) are all that we need from the Lancherter equations.

To complete the solution we calculate the number, y, of reinforcing troops the Blues can bring into the threatened portion of the second line during the time, T, that the first battle lasts. Since these troops move with a speed V, we have:

# - 2 (number of troops in crosshatched area in Figure 2).



where p & fraction of Blues troops put in second defensive line and all the other parameters have been defined:

V - speed of movement of reserves

T = length-of-first battle

Boo = total number of Blue troops in the two defensive lines before the first battle.

L. d. length of front.

All necessary equations are now available since we can use (13) to calculate the number of Blue troops ( $B_{02}$ ) starting the second battle:

Bo2 = reinforcements + troops initially in assend-line or

The last step is to put these equations in "dimensionless form" for wase of calculation. To do this we must choose our units of troop strength, time, and length as follows:

a, unit of troop strength will be total number of Blue troops initially present in the sector of length L.

- one unit of either side to kill one unit of the enemy.
- a. unit of length will be the length of the front, L. (Note that this choice gives Y the limits of "length of front per unit kill time".) With these units the working equations are given below with all Variables in the dimensionless form described above.
  - 1. To answer first question; from (5)  $1 - R_{02}^{2} = (1 - p)^{2}$ or (15)  $R_{02} = \sqrt{1 - (1 - p)^{2}}$
  - 2. To answer the second question;

(16) 
$$T = \frac{1}{2} \ln \left( \frac{\frac{1}{1-p} + 1}{\frac{1}{1-p}} \right) = \frac{1}{2} \ln \frac{2-p}{p}$$

3. To answer the third question

$$(17) \quad B_8 = \sqrt{R_{02}^2 - B_{02}^2}$$

where Ro2 is given by (15) above

The above calculations give the total number of Blue survivors. Thus the total number lost, C, is

0 - (total committed) - total survivors

We include a graph (Figure 7) of equation (12) and equation (13) which is used to simplify the calculations when such accuracy is not required.

A useful approximation to equation (12) for quick calculation is given below:

$$T = \frac{1}{2} \ln \frac{T + \frac{1}{2}}{2}$$

Now for r >> 1

$$\frac{r+1}{r-1}\approx\frac{r+2}{r}=1+\frac{2}{r}$$

and

Hence for large r;

#### Solutions for the case of enemy superiority

Solutions are required to answer the same three questions (negations 14 and 15) all ready posed for the first battle. The solutions found in the projecting section are adequate for this purpose.

Thus, equation (12) will give the length of the battle with the first Blue line for any given ratio of combat effectiveness. Then equation (13) will give the number of Blue reinforcements

Foliaire 7

which can move into the threatened portion of the second Blue line, while the battle at the first Blue line progresses. Equation (14) gives the number of Blue forces which start the battle for the second line; while the square law [eq. (5)] will give the number of Red survivors from the battle of the first line. Finally, use of the square law again will give the survivors of the second battle. These results can then be tabulated or used to make the graphs presented.

# MONTE CARLO METIOD IN WAR-DAME THEORY

ΰÿ

George A. Gamow

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# MONTE CARLO METHOD IN WAR-CAME THEORY

The purpose of this report is to discuss the possibility of constructing simplified models of various tactical altuations which may arise to various types of military engagements, and of studying these models by statistical mathed based on averaging over a large number of games played according to fixed rules but with random selection of individual mover. The well-known "Kriegspiel" in chess can be considered as one kind of such a model, although the rules pertaining to chess-figures do not seem to correspond to any actual military entities, not even probably to the implements of war duting back to the time of the ancient world. Thus, as a working example, we will select a simple model corresponding to an engagement between two tank forces in a partially wooded flat country.

The battlefield will be represented by a lattice of hexagonal fields which are selected because they correspond to a higher legree of isotropy than the regular square lattice of the chessboard. A certain fraction of hexagons is cross-hatched to represent the wooded areas, whereas the others are white corresponding to the open fields. It goes without saving that the regular check pattern is not maintained, so that the cross-hatched hexagons can be clustered into groups representing wooded areas of different dimensions. If no topographical advantage is to be given to either tank force, the pattern must be more or less symmetrical in respect to the central line. One such simplified battlefield, actually constructed in Ono, is shown in Figure 1.

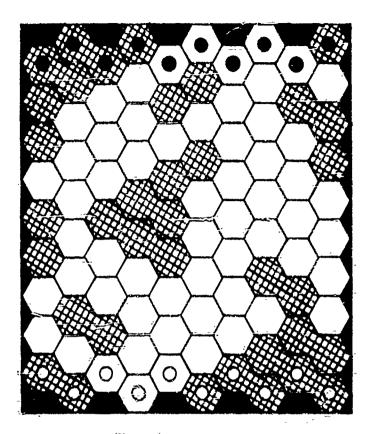


Figure 1

The two opposing tank forces, ten units each, are originally located at the rear lines of the battlefield, and "a move" on each side consists in displacement of each of the tanks to one of the adjoining hexagonal fields (although not all tanks must necessarily be moved).

If two opposing tank come to adjoining white fields, "a battle" is announced, and its outcome is decided by tossing a coin or a dis.

If, as may happen, a moving tank comes in contact with two energy tanks simultaneously, it must "shoot it out" first with one of the tanks, and, if victorious, with the other. (More realistic-rules can also be introduced in that case.)

If a tank on-a white field is in contact with an enough tank in-a cress-hatched field (considered as concealed), we first tank is always killed (or given a such higher probability of beign killed in the dice-tossing process). If both tanks are in the woods, a battle is announced only if one of them moves into the field occupied by the other (half see distance), and the cutcome is again decided by a die.

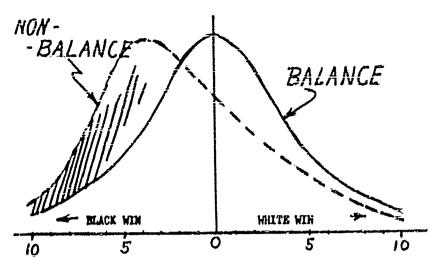
The objective of the game may be: the destruction of a maximum number of enemy tanks with limit losses for oneself; the destruction of some objective located at the reasoline of enemy forces; of still some other size.

If the game is played by hand by two individuals, they must be seated (just as in classical Krieg-Spiel) back to back, facing their own copy of the battlefield showing only the position of their own tanks. The battles, and their outcome is announced by an unite wis observes both boards. Several such games were played in ONO, and turned out to be quite assigns.

The main purpose of the game is, however, a random playing in which the motion of each tank of both forces is decided by tossing a dis. While in "intelligent" playing different strategies will be used by players themselves, the strategy in a random play must be "inbuilt" into the rules of random tank movement. Thus, for example,

the strategy of massing all tanks together or dispersing them all over the field may be introduced by bringing in "attractive" or "repulsive" forces between the tanks of the same tank force. This would require a medification of a simple directossing technique to the extent that the tanks will have preferential probability of moving towards, or away from the center of gravity of other tanks of the same force.

Playing such a random game by hand would certainly be a very lengthy and because occupation, but the entire idea is that games should be played by an electronic computing machine which can probably accomplish a few hundred complete games per hour. Among a sufficiently large sample of such games played under the same rules and the same initial condition, there will be a large percentage of even exchange, but there will also be the games in which one or the other side achieves a decisive rictory. If one plots the results, selecting as a characteristic the number of victorious tanks left after all enemy tanks are destroyed, one can expect a curve of the type shows by the solid curve in Figure 2. The curve will be, of course, symmetrical in respect to the center if both sides have exactly equal advantages and directorious tanks are



Figuro 2.

Suppose now we increase the speed of black tanks by, say, 10 percent (giving black forces 11 moves per each 10 moves of the whiteforces), and at the same time decrease their armor or fire power by giving them, say, his against 55 chance in the battle. If the increase in speed, exactly balances the increase in valuerability, the curve of Figure 2 will remain symmetrical. If the advantages and disadvantages are not balanced up, the curve will become screwed, showing which choice should be made. Thus the above method should be able to give a direct quantitative answer on the relative value of various improvements.

In the same way one can test out various strategies by giving, for example, a certain degree of clustering tendency to the black tanks and of dispersing tendency to the white. Or else one can test the relative advantages of higher speed connected with higher vulnerability, in its dependence on the type of strategy adopted by a given tank force.

It goes without saying that the particular example discussed above should be considered only as the first step in the development of the Mente Carlo method in the study of tactical situations. If, as is expected, the study of that simple example shows promising results, the next step would be the application of similar methods to other possible military situations, and the formulation of more realistic rules of the game. Of crucial important here will be, of course, the selection of "models" most appropriate for various types of actually existing situations, and of the set of "rules" for the game which, being sufficiently realistic, would, however, not overcharge the abilities of existing electronic computers.

# THE APPLICATION OF ELECTRONIC COMPUTERS TO MONTE CARLO WAR DAME PROBLEMS

by

Richard E. Zimmerman

Reprinted from Unpublished Notes of the Author Dated 24 November 1952

# THE APPLICATION OF ELECTRONIC COMPUTERS TO MONTE CARLO WAR CAME PROBLEMS

Introduction\_

The tentative project "Tin Soldier" "sponsored" by George (1)
Gamow is inventigating the application of electronic computers to be problems of "Monte Carlo War Games." Tin Soldier is worked ing closely with the Computation Laboratory and also with Project ARMOR since the first group of problems to be attacked concerns tank battles. It is comtemplated, however, that the same methods will be applied for the solution of problems for all possible kinds of military units. Certain men from the Los Alamos Scientific Laboratory have participated in the initiation of this work partly due to their access to a MANIAC Digital Computer and the IBM CPC II. While no complete problems have here run on these machines due to the pressure of other work, enough work was done to convince Dr. George Gamow and the Los Alamos Laboratory men that the idea showed promise.

Tin Soldier hopes to provide a technique for the detailed emplysis of military tactical doctrines and weapon design which approaches much more closely the military realism of an actual battle (or at least practice maneuvers) then does the simple mathematical analysis of the past. It proposes to do this by using the machine capacity for massive and tedious calculation to "play" a kind of military chass game or "Kriegspiel" but including

<sup>1.</sup> See proceding paper.

<sup>2.</sup> See Appendix A for a description of this work.

a very detailed description of the tactics and reapons designs.

We describe the general nature of the Tin Soldier approach. Imagine that a contour map is marked to indicate the pre-bathle positions of two opposing tank units. Call them the Blue and Red sides respectively. Suppose that one man directs the Blue side and another directs the Red through an imaginary battle. One way to organise the progress of this battle would be for the two men to agree to a set of rules like the following:

- (1) Each man in his turn is permitted to move his tanks a distance which would correspond to 10 seconds elapsed time on the real terrain, consistent with the capabilities of the tank.
- (2) After each man moves his tanks according to his military good sense, tanks within range are assumed to bring fire on the opposition. The winner of tank duels is decided by flipping a loaded coin which is supposed to express the odds on the battle outcome in actual fighting between these tanks. For example, if the Mue tanks are Miois armed with 90 mm guns and the Red tanks are the German Mk III's armed with a 50 mm gun, then it might make military sense to give the Mio four-to-one odds over the Mk III. The loaded coin should therefore name the Mio the winner 80% of the time and the Mk III 20% of the time.
- (3) The battle is over as soon as all the tanks on either side have either been knocked out or have successfully withdrawn from the battlefield.

The above set of rules merely describes a kind of map exercise long used for the training of officers. We note that rule (1) is so phrased that the men playing the game are required to be familiar with the use of tanks. They exercise their military judgement at every step of the game. Contrariving, rules (2) and (3) are quite simple and do not require trained men to apply them. They do however require trained men for their formulation. The grux of the Tin Soldier approach is to have trained men replace the yaque

rule (1) by a get of very specific rules which laymen can fellow. If this can be done and still make some military sense then the rules can be put directly into mathematical form and the entire "battle" run out on deak calculators by semi-skilled technicians. Or the battle can be fought many hundreds of times more quickly on melectronic commuter.

It is Tin Schlier's contention that this can be done well enough to make military sense.

The above set of rules also brought into the picture, in a year natural way, a probability function. That is, the outcome of a tank duel was not specified as a certainty but rather as a weighted probability. In this faction an exceedingly lengthy account of all the detailed factors which actually determine who wins in a M-h6-METIT duel was avoided. The Soldier hopes to be able to make extensive use of such simple probability functions in setting up the rules controlling the battle. This would serve two purposes; (1) it is probably a necessary step in raducing the complexity of the battle rules to the point where they are tractable for machine calculations; (2) they will help to indicate how sensitive the outcome of a specified battle would be to the confusion always present to gone degree in actual battle.

The range of problems which Tin Soldier should be able to investigate coincides with the degree of detail included in the rules of the game. Thus to the extent that there are detailed tactical rules governing the progress of the battle, medifications of these tactical rules will demonstrate their strength and weakness by their influence on the outcome of the battle. All

tactical problems, all quentions of weapon design, whether following conventional or unconventional lines are potentially proper subjects for investigation by this approach. The limitation is simply imposed by the one factors how complicated a battle the machine can process in a reasonable time.

A Test Problem.

Conversations just completed by an ad her committee under the direction of Dr. Nicholas Smith and including both ONO and Les Alamos Laboratory personnel have resulted in the formulation of a simple tank breakthrough problem with some intrinsic (1) morit. However this problem is not expected to supply meaningful military answers about tank design or testing but in to be used to explore in more detail the techniques of reducing a description of the rules by which the tank battle evolves to the simple mathematical language amonable to machine calculations.

For the sake of concretences, the test problem is interpreted as supplying an answer to the question, "In attacking a defensive line of tanks possessing a mobile reserve, is it better for the attackers to invent a fixed sum of money in many light tanks or fover heavy tanks?" A linear relation is assumed between the weight of a tank and its nest; between the weight of a tank and its armost and between the weight of a tank and the weight of a tank and the thickness of the armor which the gun it carries can penetrate. Conventional tanks have been found to obey very roughly

<sup>(1)</sup> Dr. J. Harrison is now converting the general rules of the game into the detailed form necessary for coding the problems for a computer.

those relations. Current American tank parameters will be used to fix the value of any constants required in the above relations. The cost in dollars of all the attacking tanks is to be equal to the cost of all the defenders.

The rules of the game, taken together, specify the physical capabilities of the tanks and the taction they employ in the bathle. The general character of the rules adopted by the domnittee is as follows:

- (1) There shall be 10 defending tanks, part of them committed to hold a defensive line, and restricted in their maneuvers to motion along the line. The defensive tanks not committed to the line will form a mobile resorve. They will reinforce any threatened sections of the defensive line.
- (2) The attackers will be variable in number according to the restrictions on cost, weight, armor thickness and gun already described. Their mission will be to advance through the defenders and reach a "goal line" behind the defending tenks. The game will be ever when some specified number of the attackers (probably all survivors) reach this goal line, or when all the tanks on either side are destroyed. The tactics of the attackers will be to probe the defensive line for a weak spot so up to avoid a frontal assault on the defensive line if possible.

The committee further accepted the following recommendations for directing these initial exploratory calculations:

- (a) The complete game should be restricted so as to take the order of one minute of running time on the MANIAC (or ORDVAC) machine.
- (b) Calculations should be carried out for at least two different terrains.
- (1) According to a private communication with Mr. E. Benn, of the British ORG, temporarily with ORG. There are, of course, many variations from this, generally in the direction of lighter guns than the rule suggests.

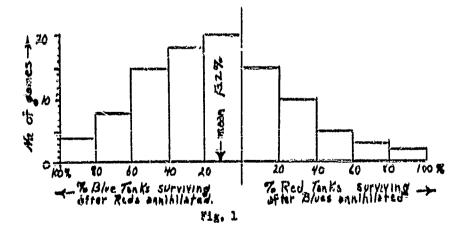
- (a) A total of 10 to 100 games should be played.
- (d) The taction, or rules of motion of the tanks, should be veried over a few of the games at the start to insure that there will actually be a contest between the opposing tanks and to determine how sensitive the outcome of the game is to moderate variations in these taction.
- (e) The exploratory program should include at least a rew games coded for or actually run on machines other then the MANIAC, e.g. the UNIVAC. Defense Calculator and FERMANTI style. Further the coding of this problem for the proposed Bell Telephone Interatory retains drum computer should be investigated.
- (f) Various approximations for the calculation of the distance from one tank to enother may be used in the interest of simplicity and saving of time. The exact calculation of  $r^2 = (x_1 x_2) + (y_1 y_2)^2$  involves two multiplications. Here  $x_1$ ,  $y_1$  are the second tanks of one tank and  $x_2$ ,  $y_2$  are the coordinates of the second tank. On the MANIAO, the time for one multiplication in about 1.5 milli-seconds (0.0015 sec). A single addition (or subtraction) takes only about 1/40 of this or about 10 micro seconds. Hence the interest in reducing the number of multiplications required in the course of the game.
- (g) The program described shove is expected to require about I wan-year.

Machine Capacity

Before setting up a program for Tin Soldier we must deduce the limits imposed on much a program by a computer's capacity for calculation. Thus we must consider the general nature of the problems Tin Soldier proposes to study.

To this end we now suppose that we have found a set of rules which define a militarily realistic tank battle. Suppose further that it is desired to determine the optimum compromise between the amount of armor that a tank of specified total weight should carry as opposed to the size (weight) of the gun. This compromise can only be expected to be optimized for a particular style of battle and for action against a particular style of enemy tank.

The first step in the calculation is to assign a value to the weight of the armor and the weight of the gun. Then a sufficient number of games must be played with this particular choice of weights to establish the distribution of winlose probabilities. If a hundred games were played the result might be as shown by the histogram in Fig. 1.



This histogram shows that the Blues have the edge in the battle; they won 65% of the battles and lost 35%. The (arithmetic) mean outcome was a Blue victory with 13.2% of its tanks surviving. The standard deviation of this mean, that is the interval inside which we are 67% confident that the true mean lies, is  $13.2 \pm h\%$  (approx.)

One must note that as the dispersion (broadness) of the winloss frequency cure decreases, as does the number of games
required to establish exectfied confidence limits of the mean.
Thus one cannot tell beforehand how many games must be played
to establish the mean battle results. It is felt however that
if the frequency curve is so bross that 100 games will not be
sufficient to fix the mean to within about 5%, then the results
are probably not believable anyway. In other words, one would
probably require a rather clear cut indication of a design
superiority from such problems. This would provide a margin of
safety against being mislead by the simplifying assumptions used
in formulating the rules.

To continue this hypothetical problem, our purpose was to optimize the armor-gun compremise in the Dlue tanks. The 100 games played above gave us the TELE battle ability of the Dlues for one such compremise.

We must now should another value of this weight ratio and play another set of (about) low games. For each set of 100 games we will get one point for the construction of the following curves (Fig. 2) See page 9.

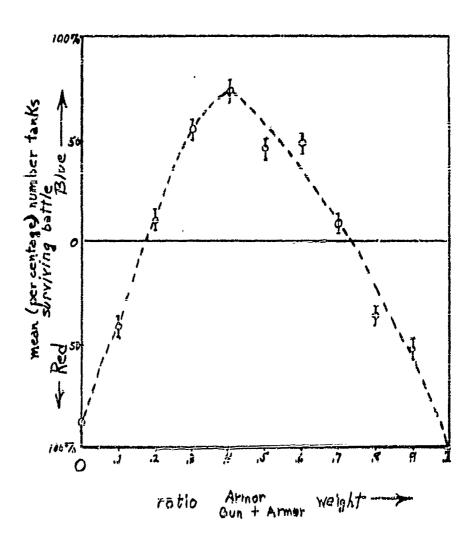


Fig. 2

The curve in Figure 2 is very well defined by 10 points; thus about 1000 gazes are required to develope it. The result of these hypothetical calculations then is that the optimum (Armor Weight ) (Armor / Gun Weight) ratio is about .4 for the game with this particular set of tactical rules, for this particular enemy tank design, for this particular terrain, and for the particular weapen systems involved in the battle.

Only to the extent that we believe the game has mocked up a real military situation do we also believe that .4 is the best (Armor weight) ratio for a tank operating in that military situation. (Our / Armor).

In order to develop confidence in the above result it will probably be necessary to determine how sensitive the final result is to moderate changes in the rules of the game. We might have to reject the answer if, for example, only olight changes in terrain or in the tactics would radically alter the optimum Armor Arm

weight ratio. Thus we are obliged to repeat the entire process (involving around 1000 games) for various altered but similar games. Perhaps 10 such repetitions would be the order required.

Thus the total number of games required to make a fairly detailed analysis of the ARMOR-OUR waight ratio under appointed dirounstances may be on the order of 104 games.

If each game took, on the Everage, one minute of computer time, then this represents about 1 full months work on the MANIAC, ORDVAC, UNIVAC or Defense Calculator.

It should now be clear why the time problem is a serious one, There are at least 5 lines of attack on the time limitations.

- (1) Intensive investigation of coding short cuts and approximations to replace time consuming exact calculations.
- (2) Use of analytical calculations where possible to replace sub-routines involving stochastic variables.
- (3) Reduction in the generality (1.6 the number of probability parameters) of a game with consequent decrease in the number of games required to fix the mean battle outcome.
- (%) Make maximum use of small unit game results before considering large unit actions.
- (5) Press the investigation of the use of symbolic logic which lends itself more easily to coding for the Bell Telephone rotating drum machine. If successful, this approach could reduce the time required to play one game by a factor of perhaps 1000. In such a unit, 10% games could be played in a matter of minutes or hours, instead of the month suggested above.

## TRITIAL CONDITIONS

In the preceding ession an outline was given of the manner in which a computer might be used to optimize the division of weight between the armor of a tank and the gun it carries. In order to carry out these calculations it is necessary to establish a definite relation between the weight of a gun and its penetrating power.

Clearly there is no one-to-one correspondence between these two factors, i.e., a 500' pound weapon might be a high velocity 76 mm gun firing an armor plercing shell, or a low velocity 105 am howitzer firing HTAT rounds (shape charges), or a rocket launcher firing 1000 pound projectites. such weapons, even though their weight may be the same, would differ widely not unly in armor penetration at som, fixed range, but also, in many other characteristics such as accuracy, time between major maintenance of the weapon, and the number of rounds of ampunition which can be carried. Thus before the calculation described stayo could be started it would be necessary to decide whit kind of weapon would be considered and to fashion a realistic measure of the penetrating power of the weapon versus its weight. Any significant improvement in the design of armor penetrating weapons would render the entire calculation obsolete and it would have to be repeated using the new gun parameters. This emphasizes again that a computer playing the Tin Soldior game is only an analytical tool for use with data and assumptions derived by some other process.

### OHECKING PROCEDURES

Here as elsewhere in military research and development one has no insurance against mintakes of interpretation. Only a full scale war can provide a completely valid test of military dectrines.

Precisely the same measures must be taken with any results of Tin Soldier as are taken with any other analytical results. One can check the results against historical battles; one can introduce the results into maneuvers of trough to test their efficacy.

#### ENLARGING THE ECOPE OF THE PROGRAM

Formally it is clear that the rules of a game need not be interpreted as describing a battle involving tanks. Thus if we easign one of the maneuvering elements an operational speed of 2 miles an hour, give its weight as 200 pounds, supply no armor and a weapon able to penotrate 3/8 inch of armor at a range of 100 yards together with the ability to cross every kind of terrain, then we make sense if we call this maneuvering element an inferior man or squad. Thus to a reasonable approximation the problem can be made to include entire weapon systems by meraly altering the numbers associated with the firepower, mobility and vulnerability of a unit.

Of course the detailed tagtics employed will vary from one weapon to another, but within limits this can be taken care of by altering the probability parameters which control the motion of the unit and degree of interaction with other units. Thus the call for help, the enveloping maneuver, a probing for a weak section of the front, the concentration of weapons, and setting up a stationary atrong point are factors common to varying degrees in the employment of all weapons.

In principle then, the problem can be enlarged without introducing any new difficulties except for the increased time required to play the game. Any desired complexity in the terrain, the tactics or the weapon systems can be put into the game in a simple, direct but time consuming manner. If the approach adopted

by Tin Soldier will work for small unit actions, then the only limit now expected on its expansion to any dogree whatsoever would appear to be the time limitation already described.

#### SUMMARYE

- 1. A brief famouility ctudy on the application of computers to
  Project ANIOR has been completed with favorable recommendations.
- 2. A period of exploratory work has now started to develop techniques of breaking down military tank problems for machine processing.
- 3. The main effort will then follow. That is, it must be assured that sufficient military realism is in the problem to justify accepting the results of the calculations.
- 4. The limitations on how far the program can be carried derive mainly from the restriction on the length of time one problem takes on the machine. These limitations should be attacked in two ways:
  - (a) refinement of the present approach:
  - (b) development of one-step logical operations to pass from one battlefield state to the next in the order of 10 to 100 mioro seconds calculation time of the computer.

1. Dr. M. Ouehen of the Computer Lab is considering this problem.

## THE CODING OF A SIMPLE BREAKTHROUGH PROBLEM

The LASE men initially considered a very simple tank breakthrough problem in order to make a feasibility study of the Tin Soldier approach. Its presented here. The problem is described has virtually no military merit and is so simple that hand calculations would be sufficient to earry it through. Nevertheless, parts of the problem were coded for the IBM GPC II and enough runs were made to satisfy us that the general procedure was workable. In addition the problem was described to personnel working with the Los Alames MANIAC and they quickly turned out a so-called rilew diagram. This diagram is shown in Figure 3. On it every calculation required for playing the game is completely specified in a form understandable to any person familiar with large scale computers. The symbolism follows that of J. von Neuman and is fairly standardized over the country. Using the flow diagram the control orders for a computer may be written down immediately.

The problem involves a situation described most compactly by the block diagram in Figure 14 . The action is described below,

A line of anti-tank guns, deployed in depth and with no overlapping fields of fire, is appreached by a solumn of 10 tanks. The motion of the lead tank in this column is strongly weighted in a forward direction but varies somewhat in a random fashion. The tank solumn "follows the leader", i.e. the (n / 1)th tank always moves into the position just vacated by the nth tank.

As soon as a tank comes within range of an anti-tank gun. the anti-tank gun gete one shot at the tank. A selection among random numbers then decide whether the tank was killed. If the tank was not killed it moves again after which the anti-tank sun nate another shot at it. The anti-tank gun gets only one shot between such move and always shoots at the lead tank in that part of the tank column within range. If the anti-tank gun misses its second shot, the tanks move again after which the enti-tank gun shoots a third time. Immediately after this third shot all surviving tanks within range of the anti-tank gun get one shot at the anti-tank gun. So long as the anti-tank gun survives, the tanks continue alternating a move with one shot from the antitank gun followed by one shot from each of the surviving tanks. The odds are generally against the unti-tank gun and it is eventually knocked out. The column then continues its motion forward until it comes within range of a second anti-tank gun where the move-fire-move-fire routine is repeated. Finally, the head of the tank column emerges from the line of anti-tank gung. The score of the game is the number of tanks lost in breaking through.

The parameters adopted for hand calculations and for running on the IDM CPC II were that each mays surresponded to a 10 second interval on the battlefield. In this time the tank generally moved about 100 yards which corresponds to a speed of about 20 mph. Specifically, for each move a digit was selected at random from a table of logarithms. If the number was between 0 and 5 inclusive,

the tank advanced 100 yards forward. If the number was 6, the tank moved 50 yards to the left; if 7 then 50 yards right; if 2 then 50 yards to the rear and it stayed in position if the number was 9.

The range of the anti-tank guns and of the tank guns was taken to be 1000 yards. Inside this range the kill probability was usually taken to be a constant, 1/2, although variable kill probabilities were tried by hand.

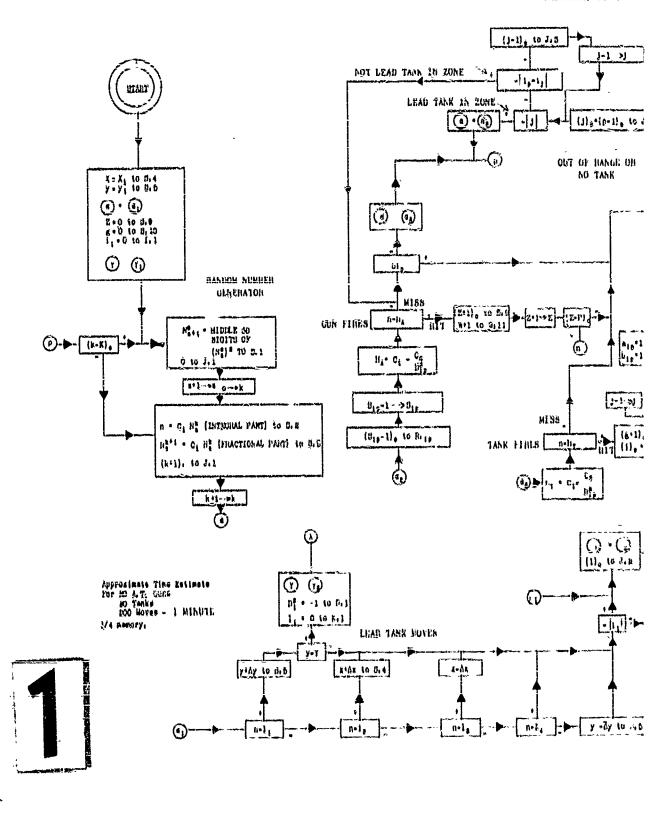
The MANIAC flow diagram does not specify these probabilities but merely the method for using them. At the start of a game all probabilities associated with the movement of the tanks, the kill probabilities of the guns and the ranges can be given any values. The kill probability can be put in as an analytic function of the range if desired.

## Key for Figure 3.

### NOTATION

```
x goordinate of position of lead tank
 Ä
 y
        Initial x " "
 <u>×</u>.
        Initial y " "
 y.
                                 Ħ
 N.
        Ath fractional part of ath random number
        Random integer used
 n
        Determinée range of random integer
 Ç,
        Index on A. T. guns
        Index on tanks
 p
        No. of A. T. guns
 ſ
 p
        No. of tanks
        The number of the A. T. gun to which the pth tank is in range
 Įþ.
        Distance from 1th A. T. gun to lead tank
di
       Distance from ptil tank to A. T. gun within range
Dp
       Range of A. T. guns
x coordinate of 1th A. T. gun
y
r
ΑÌ
hi
       Increment of forward motion
ΔУ
       Inorement of backward motion
Δу
       Increment of motion to the right
Δx
       Increment of metion to the left
Δx
       Lower limit of n for forward move
I,
1,
                      a m m right
١,
                      N. H. H.
                                left
                Ħ
١.
                      H # H
                                no
H
                      H H
                               hit by A: T. gun
\Pi_{\mathbf{r}}
                      " " hit by tank
                Ä
7
       Number of tanks hit
      Number of A. T. guns hit

of shots by inth gun left before tanks get to shoot
       y courdinate of goal
      A word used to remember which tanks have been hit, i.e., A "1" in the 10- digit position tells that the (P-y)th tank has been hit.
```

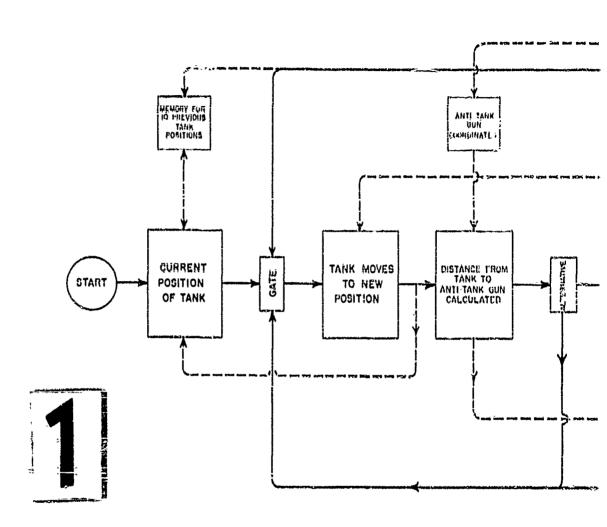


TESTLA TOR BREAKTE FOLGE

BREAKTHROUGH FLOW GLADRAH

# TANK BREAKTHROUG

Fig. h

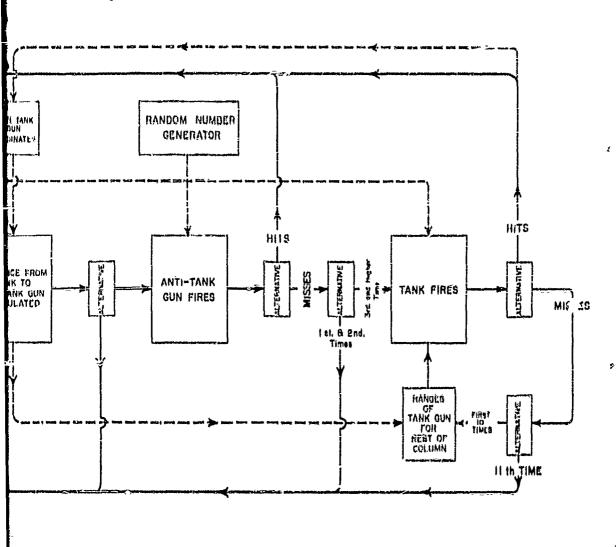


> 10 tanks in a column t an area covered by A fields of fire.

# TIN SOLDIER

## ANK BREAKTHROUGH PROBLEM

rig. h



O tanks in a column follow the leader and approach in drea covered by AT guns with no overlap in their leids of fire.

14

2

# PROGRAMING A SET PIECE TYPE WAR GAME FOR HACHINE CALLULATION

by

Richard E. Zimmerman

Reprinted from Unpublished Notes of the Author Dated 2 April 1953

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#### PURPOSE

A Set Piece type of war game will be programed for machine calculation to investigate its applicability for evaluating tank effectiveness and as the first step in the program of Project ANNOR to extend the mathematical models currently in use to war games of considerably greater complexity.

PAC75

The set piece war game is intermediate in complexity between the simple battle models which are currently receiving much attention by Project AREOR (and others) and the much more complicated models which is proposed would include the maximum detail that the fast modern electronic computers can process in times like 10 minutes per complete battle. The set piece battle is distinguished from other models by the fact that before the battle starts, the future position of the maneuvering elements is specified as a function of time up to the point that each maneuvering element must continue along its pre-set course unless it has been knocked out. This specification detracts from the realism of the battle, but it also makes the calculation of the battle very much easier.

The form of the battle here described follows generally that described by Col. Shanely, OCAFF, at a Theoretical Panel meeting at ORO, February, 1953.

The terrain used is imaginary in detail but is roughly similar to portions of Fort Knox.

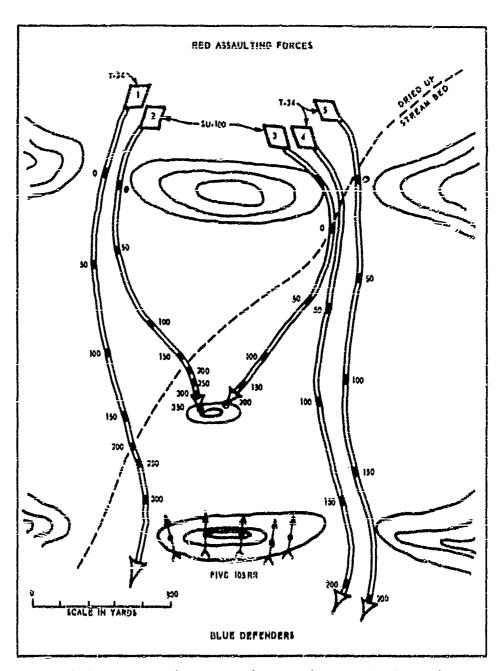
The type of battle considered will later be extended to other types of terrain and different missions involving tanks and anti-tank weapons, but retaining approximately the same degree of complexity.

#### THE BATTLE FLAS

Figure 1 shows the terrain and the disposition of forces involved. The Red forces at the top are presumed to make a frontal assault against the Blue forces entrenched at the bottom. While many weapons are expected to be firing on the battle field, we are considering in detail only the number of tanks killed by the anti-tank weapon and the number of anti-tank weapons lost to the combined weapon system of the Reds. The assaulting tanks themselves are not considered to bring effective fire to bear on the anti-tank 105 receilless rifle until quite close, the order of 200-300 yds., since they are moving at high speeds. However, as soon as an anti-tank 105 receilless rifle until fait a sesumed that a large number of weapons are brought to bear on it. This would include self-propelled guns overlooking the assault and mertars. Once the 105 fift has fired, the chances are high that the large flash would give his position away and his life would then be short.

#### THE DETAILED CALCULATIONS

To carry out the calculations for any given weapon pair--that is, one 105 like vs one of the assaulting tanks--we consider in their proper order the following curves:



bottle Map for Set Piece Liattle showing terrain, disposition of weapons and with the path of the managering elements during the assault completely specified. The numbers along the arrows marking the path of the tanks give the time in seconds that the tank reaches that particular point in its advance.

- (1) Curve giving the probability distribution of the first shot by 105 KR as a function of range to (nearent) tank.
- (2) Curve giving survival probability of 163 RR which determines whether it has chance to get off its first shot.
- (3) Ourve giving kill probability of 105 RR against tank for this first whot.
- (4) Curve giving probability of various time delays before 105 RR is able to get off each additional shot.
- (5) Curve giving new position of tank after the delay in the firing of the 105 RR.
- (6) Pamily of curves giving the survival probability of the 105 NP as a function of the time delay before the next shot, and of the runge to the tank at the end of this delay.
- (7) Curve giving the kill probability of the 105 RR against the tank for additional shots.

Those curves must be completely specified before the battle starts for every weapon and weapon pair on the battle field which may become engaged. Some of the curves differ from weapon to weapon, while in other chain several weapons and weapon pairs may be described by the same curve in the interest of simplicity.

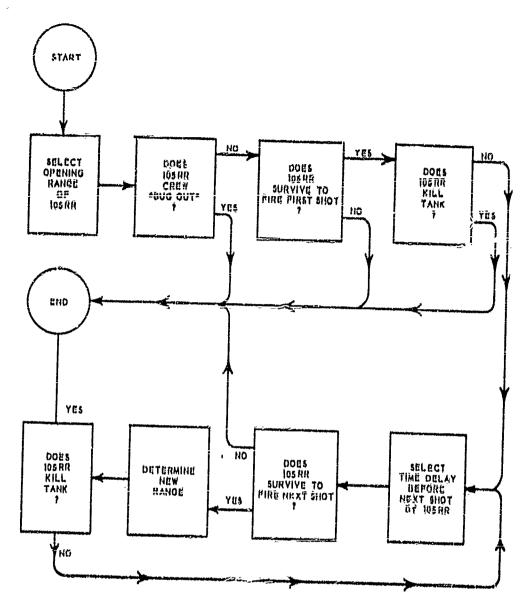
For machine calculation, the non-gralytic curves must be transformed into a histogram. Since one will revely get a satisfactory representation of the various probabilities in analytic form, the named case will involve non-gralytic curves. To describe the course of the battle most compactly, Figure 2 shows a logical flow diagram for the course of the calculations for one weapon pair. A complete battle will be composed of a number of such weapon systems considered one at a time.

Ideally each weapon pair should be considered for one very small increment of time before moving on to the next increment of time. Such an increment might be an small as one second. However, in the interest of calculational simplicity, the initial calculations will be made by considering each weapon pair up to the time of the first shot for each, then each weapon pair up to the second shot, etc.

#### A HAND CALCULATION FOR ONE WEATON PAIR

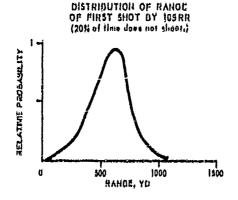
Figure 3 shows the general shape of the curves which were assumed for a hand calculation for one of the weapon pairs appearing on Figure 1, namely Tank No. 4, (a T-34) and the right-most 105 RR. Data were not available to define these curves in a really satisfactory fashion, but the methodology of this approach can be investigated using the curves shown. They were made as reclistic as possible within the time limitations required for their production and the limits imposed by the methodology. Much more work will be required to develop fully satisfactory curves for these probabilities if the set piece type of battle is to be used to establish tank effectiveness.

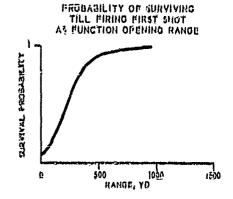
The form of the hand calculations is shown in Appendix A. In it the curves have been broken down into a histogram with  $a_{1},\dots$ 

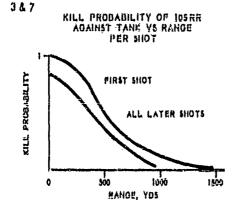


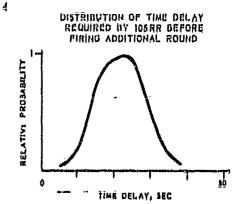
Logical Flow Diagram showing course of calculations for one weapon pair in Set Piece Battle.
FIGURE 2

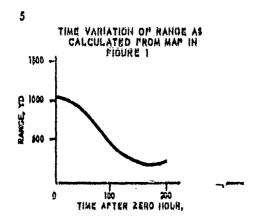


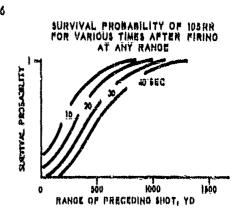












Surves used to set up hand calculation for one weapon pair in Set Piece Battle
FIGURE 3

mately 10 "cella". The form shown for hand calculation is the same general form required for machine calculation using digital computers.

Some of the results of 74 hand calculations for this one weapon pair are shown below.

T=34		;  %   12%
· •	Total	56%
105	RR killed before firing	
	first shot	16%
105	RR killed after firing	
	one or more shots	14%
105	Ill orew "buge out"	145
•	Total	44,8

The exchange ratio was 1.3 tanks knocked out per 105 RR committed. It will be noted that the curves used provided that the 105 RR crow should "bug out" 20% of the time, while the above results show a 1%% "bug out" factor. The discrepancy is due to the small sample size (10 games gave this result) and it is not significant. Other results of the battles could be abstracted if they proved interesting, such as the distribution of the range at which the tanks were knocked out.

#### MCBIFIGATIONS FOR MACHINE CALCULATION

The details of the ferm assorthed here are not necessarily the most efficient for machine extgulation. The final form will depend upon the peculiarities of the particular machine used.

For the initial machine calculations, it is felt that one should consider the curves to be approximated by a histogram of

three cells, with 5 assaulting tanks and 5 defending 105 RR. This will reduce the initial coding problem.

## APPENDIX A

# RULES FOR HAND CALCULATION OF THE SET PIECE WAR CAME

We consider Tank No. A ve the right most 105 RR in Figure 1 as the AT wpn. Figure 2 gives the surves used in setting up these tables.

## STEP I

Select opening range of AT wpn.

- i. Choose a number at random between O and 99,
- If a number is Q òř 1 O neowied anogo naw TV 100 yda. 2 to 100 200 8 200 300 9 700 700 1413045757776 20 21 56 61 77 77 500 600 900 1000 1100 1200 1ZÓÖ 1300 78 1300 1400 1400 1500 90 never fires at all, "buge out"
- o. If wpn "bugs out", start over with next pair, otherwise procoed to Step 2.

## STEP 2

Determine whether AT won survives long enough to get off first shot.

- a. Ohoose number at rendom between 0 and 99.
- b. If opening range (Step I) is

Ç	to	ĵõi y	ia. AT	wpn	MUPVLVOM	ŢŢ	no,	15	l.esa	thán	15
100		200									36
200		300									53
300		1,00									67
400		500									79
500		600									øģ
<b>₹</b> 00		700									93
700		800									97
800		900									99
900	or	over.	aerta:	in1v	aurvivos						• •

o. If wpn does not survive, start over with next pair, otherwise proceed to Step 3.

## STEP 3

Determine whether first shot from AT wpn killed the advancing tank by:

- a. Choose a number at random between 0 and 99.
- b. The tank is assumed to be killed if the number chosen is less

than	99	when	the	opening	range	18 0	to	100	yde
	97				_	100		200	•
	93					300		300	
	83					<b>30</b> 0		400	
	67					400		500	
	54					500		600	
	54 13					600		700	
	34					700		600	
	38					BCO		906	
	33 38					900		1000	
	10					1000		1100	
	14					1100		1200	
	10					1200		1360	
						1300		1400	
	4					1400		1500	

o. If tank is killed, start over with next pair; otherwise proceed to Step 4. Dotermine time dolay bofore AT win shoots again if it survives.

- a. Choose a number at random between 0 and 99.
- b. If number is 0 to 1, AT fires again in 10 seconds.

  5 24 25 54 20
  55 79 25
  80 94 30
  95 99 25

## STEP 5

Determine whether AT wpn will survive to fire again.

- a. Choose a number at random between Q and 9%.
- b. Using the table below, pick the row corresponding to the range at which the last shot was fired and pick the number in this row which is also in the column for the time interval including the time delay before firing determined in Step 4.
- c. If the random number is less than the number found in the table, then the AT wpn is assumed to have survived long enough to fire again.

Survival time in seconds after each shot at range R vda						R vda
بداوحا فيتد ومست		0=13	12-22	22-32	32-52	
	<b>ō</b> ⊷ī.ŏō	20	10	0		
	100~200	40	20	10	Õ	
Scot	200~300	50	30	20	10	
	300~1,00	60	140	30	20	
Circa	400~500	70	50	40	30	~
15 O.	500-600	80	60	50	40	
al in yds	600-700	95	70	60	50	
	7 <u>5</u> 0-800	99	80	70	60	
interval	800×900	99	90	80	70	
प्राच्छेन । य	900-1000		99	90	80	
	1000-1100			99	90	
	1100-1200				99	
	1200-1300					

d. If wpn does not survive, start over with a new wpn pair. Otherwise proceed to Stop č.

## STEP 6

To find out how much the range has changed since the last shot:

- a. Select from the range solumn below the range at which the last whot was fired.
- b. Add the time delay selected in Step 4 to the time found in the time column directly opposite to the proper range.
- e. The sum found in (b) == time in column plus time delay == will

then be opposite the range to the tank at the time of firing.

Time in esca.	Range in yds.	Time in ages.	Rango in yds.
	•	211 4149	پديار الل
0	1170	105	126
5	1150	116	425
10	3125	115	390
15	1100		330
20	1060	120	225
25	1959	125	590
30		130	260
	1015	135	235
35	<del>9</del> 75	140	215
70	935	145	205
45	U85	130	195
50	<i>81</i> ,0	155	190
55	600	160	186
60	750	165	180
65	725	170	180
70	690	175	
75	655	180	175
ėo	620		175
85	575	185	160
90	717 536	190	185
90	535	Ĩ <i>9</i> 5	200
95	495	200	215
100	7 <u>0</u> 0		-

## STEP 7

Finally, the kill probability is used to determine whether this last shot killed the advancing tank.

- A. Selest a number at random between O and 99.
- b. If the number so selected in less than the number opposite the proper firing range (Step 6) than the tank is presumed to have been Milled.

kange	in yards	Probability no.
0	to 100	58
100	200	80
200	<b>300</b>	70
300	400	57
490	500	40
500	600	27
500 600	799	17
700	800	9
<b>E00</b> 3	900	4
900	1000	1

c. If Tank is killed, start over with new wpn pair; ctherwise continue the calculations by returning to Step 4 and repeat for the additional shots.

# RAXIPUH COMPLEXITY COMPUTER BATTLE

by

Richard E. Zischermen

Reprinted from Unpublished Notes of the Author Dated 25 January 1955

#### **ACKHOLIZOHERT**

The writer gratefully acknowledges the inspiration of G. Gamow (Consultant CRO) who first proposed the essential features of this mthedology and W. W. Nicholse who throughout pressed for the development of G. Gamow's proposals to G. Kramer and E. Josepha of Engineering Research Associates who not only contributed their special technical and mathematical skills in applying the ENA 1101 computer to the calculation of these battles, but also aided in the development of the special computing techniques used; and to the many CRO staff members and consultants who contributed data, advice and encouragement. Special mention should be made of N. Smith (ORO) who led the early discussions which produced the guide lines applying to this study; S. Ulam (Cons. CRC) who contributed basic and original work on Monte Carlo Techniques; Col. Billingslee who supplied authoritative advice and proposals on the tactical aspects of the study; and V. Mackee and M. Grabau who kindly permitted the use of a quantity of original and unpublished tentative performance date for the armored vehicles.

#### MONTE GANLO COMPUTER WAR GAMING: A FEASIBILITY STUDY SUPPOMY

#### HIS310#

- 1. To develop a model of buttle which will permit the simulation of a small unit combat action useful as a testing medium for new weapons, weapons systems, and tactical destrine.
- 2. To apply existing techniques and originate new techniques rendering the model of battle subject to calculation by the largest electronic computers.
- 3. To make a trial calculation on a scale sufficient to test the technical feasibility of the model of battle and computing techniques.

#### FACTO

1. The rate at which unproved weapons of radical or unsonventional nature are becoming available to our military forces is increasing tremendously, compounding the difficulty of evaluating new weapons and weapons systems in the absence of actual combat. Some of these weapons may strongly influence the organization and tactical doctrine of the military forces. Thus the effectiveness of all weapons, even those already tested in combat, may be altered. To be adequate, tests of weapons must be made in the context of the weapons system containing them. Proving ground data, while necessary, is not enough; yet full field tests of all proposed weapons is prohibitively expensive.

- 2. "Paper Analysis" of complete weapons systems has hithorto been inadequate to treat convincingly all essential elements of the system, particularly when the opposed weapons systems (1) are not directly comparable, weapon by weapon, (2) involve untested thetical innovations, or (3) are closely balanced. In the third case especially, the influence of fluctuations in the fortunes and misfortunes of compatent the outcome of the engagement has been largely ignored in paper analysis, there being no practical way to assens it using conventions?
- 3. Recently a new technique for the solution of extremely complex problems, particularly those involving multiple probabilities, has come into being. This technique, called "MONTE CARLO," has been successfully used by mathematical physicists at Los Alamos and others to solve certain important problems which had been "unsolvable" by conventional techniques. The new technique (usually) requires that a large electronic computer be employed to carry out the calculations.
- 4. Image electronic computers are now available which in addition to their well-known ability to solve arithmetic problems at great appeal, have also a capability for solving "logical" problems. That is, they can determine the logical consequences of a given set of facts and/or assumptions. They can be caused to make a decision to alter the nature of subsequent calculations in any manner decired as a result of a logical calculation.

#### DISCUSSION

From general considerations the scale of the combat operation to be treated in this feasibility study is shown to be properly of company size, and to involve tanks as the privary combat elements. It is argued that each tank must be considered individually in the calculations, hence that the details of the action must involve probability notions to fulfill the mission of the study. While conventional mathematical formulae appear inadequate to treat the complex actions of individual tanks, a resently developed computational technique — "Monte Carlo" — proves feasible and to have other advantages as well. From the requirement that Monte Carlo type probabilities be included in the treatment of the battle it is shown that the computer must complete the calculations for a single battle in between a few minutes to an hour, so that the series of battles required for a complete analysis (several thousand) will not take a prohibitively long time for computation.

A particular hypothetical combat operation is described which in consistent with the statement of the problem and which serves in the remainder of the report as the test vehicle for this feasibility study. The combat action selected was formulated with the aid of knowledgeable Army officers and civilian technicians. It is patterned after the "Reinforced Tank Company in the Attack" problem performed frequently at it. Knox to illustrate Armored Small Unit Tantics. The Ft. Knox problem has been modified in some respects, particularly in regard to the tunk strength of the aggressor forces, which is here angumed to be approximately

on a par with those of the assaulting forces. The attacking forces include a midium tank company, 3 aguads of infanty mounted in personnel carriors, with a battery of 4.2" mortars in support. The defenders are assumed to have a company of 10 midium tanks, a company of 5 BP guns and 9 squads of dismounted infantry.

The motion is put in the context of an ever-all tactical situation, and takes place on a piece of terrain patterned closely after an area. Little over a mile square in Davaria, 30 miles north of Würzburg. The major terrain features of this area are similar to the area at Knox where the attack problem is demonstrated.

The combat action is broken down into its essential elements of fire and maneuver. A precise statement of what calculations the computer must perform in order to accomplish the mission of this start is then formulated.

Stated briefly, those fundamental activities by the combat elements are (1) a decision to move from one small 100-meter x 100-meter square, which is its present position, to a selected neighboring square, affected by the factors of terrain and combat which must influence the selection; and (2) a decision to deliver a single unit of fire against a selected enemy target in accordance with the terrain factors and combat situation which must influence the selection and the effectiveness of the unit of fire.

These two fundamental activities are properly ordered in time by the computer, i.e., are caused to be performed in a sequence which makes military sense and at a rate consistent with the capabilities of the weapon and weapon orew.

The characteristics of computers in general and of the 1101 ERA computer in particular are described; and a technique is developed whereby the capabilities of the 1101 computer are applied to the solution of the problem of this study. The solution is seen to depend strongly en certain non-arithmetic, or "logical," calculations which the 1101 computer can perform with great speed.

A program is specified which causes the 1101 computer to compute in great detail the progress of the particular combat action under analysis, which involves directly the factors of terrain, communications, weapons, mobility and tactics that have been identified in the first part of the study as essential.

Every militarily significant portion of this program is described in detail and criticised concurrently to the extent feasible. The actual coding of the problem involves a careful arrangement of over 16,000 8-digit numbers. The rules used in translating the military statement of the problem into these "coding numbers," are described.

The results of 121 bautles are analyzed to determine the nature and accuracy of conclusions which might be derived from a series of battles were this program applied to a "real" situation.

The results of Mente Carle computer battles appear in a form which raises certain statistical questions which must be investigated, and the present study provides useful answers to these questions. Essentially, the question is "flow many times must a particular battle be repeated to give an acceptable measure of the 'average' outcome of the battle?"

Related to the engine to this question is the spread in the results of a given battle; that is, the likelihood with which "unaverage" or exceptional outcomes of the battle occur. The study shows that 50 repetitions of the computer battle in its present form is sufficient to determine the "average" outcome with acceptable accuracy and shows that the spread of the battle results is fairly measured by the same number of repetitions.

A second important question is also investigated. For this purpose 50 additional battles were obtained for the case where the modium tanks of the assaulting force were replaced by a new set of tanks with (1) lower kill probability of its gun against the enemy armor, (2) an increased rate of (effective) fire, (3) higher vulnerability to the enemy armor, and (4) an increased mobility (speed of movement). The change in the numbers which specify these capabilities of the tanks follows roughly, but only in part, the difference between T-48 medium tanks and T-41 light tanks, and were derived from tentative performance data supplied by the staff of Project Armor. Of course, the results of the trial battles computed in this feasibility study cannot be taken as an accurate comparison of the effectiveness of the T-48 medium tanks with the T-41 tank. Hewever, the comparison made is a clear example of an important application of computer battles. Such a comparison can be made as seen as a realistic battle code is devised and accurate performance data is available.

An additional 21 bittles were computed for the ease where "heavy" tanks replaced the mediums: The performance data for these tanks followed roughly tentative performance data for the T-43 tank supplied by Freject Armor staff.

In both cases, the modified tunks caused a variation in the outcome of the "average" battle which was measurable with useful accuracy and gave rise to a spread of results which was not so wide as to make predictions impossible, nor so carrow as to cast doubt on the methodology.

A description of an improved computer battle making use of the techniques developed in this study is given, which appears to be feasible on new computers new available, and which is formulated with sufficient detail and realism to permit its application to the solution of real and pressing problems relating to the T/OSE of small combat units.

It builds directly on the lessons learned from this feasibility study, and takes into account all the major improvements in the military realism which appear necessary for the useable computer battle code. In particular much greater flexibility in the tactical doctrine governing the actions of the combat units is provided for. The dommand-control structure of subordinate units is an integral part of the proposed code and personal including of the important command control problems in a realistic fashion; including change of combat mission and of the tactical means adopted for the expoution of the mission during the battle. Since such command decisions are made on the basis of the commander's knowledge at the time, the operation and effectiveness of the commander's data-gathering system, including his radio net, are a part of the proposed computations. With this addition, the methodology appears capable of being directly extended to involve combat units of battalion size and larger, as soon as detailed performance data in available.

A further application of the methodology is proposed which uses it as an adjuse to CPX type map exercises where the computer replaces to a substantial degree the umpire system currently in use. Such a system would appear to have major advantages, since it would permit much more accurate and detailed assessments of changing capabilities and canualty rates without distorting the time scale of a realistic CPX. While this application can be made using existing equipment, full exploitation of this technique requires an improved system for the controling and directing of the computer calculations and for the presentation of the results of the computations to part'eigents in the CPX. Recent developments in "television type" data display equipments show great promise for such application.

#### CONCLUSIONS

- 1. The Monte Carlo computer war gaming methodology is technically feasible for small unit actions within the restrictions imposed by time and cost factors.
- 2. All significant factors affecting combat actions which have been identified, can be included in computer battles of this type.
- 3. The outcome of this type of computer battle is sufficiently sensitive to the capabilities of the combat elements taking part in the battle to yield significantly altered battle outcomes when realistic variations in the combat capabilities of the Wanpons are made.
- 4. The methodeless can to extended to include larger combat units, additional factors when they become known, an increased variety of units, or any factor which can be precisely described.

- 5. The methodology is ideally suited to permit direct participation in and concurrent criticism of the studies by nonmathematical personnel most importantly, by military officers with extensive combat experience.
- 6. The methodology may be employed in almost its present form with existing equipment to vastly improve OFX type exercises, either for their training or research value.
- 7. The OPX type operation may be used much more convenient if existing equipment be modified for use as visual display equipment operated directly by the computer.

# MONTE CARLO COMPUTER WAR GAMING; A FRASINILITY STUDY

#### INTRODUCTION

This memorandum is a report on the feasibility of a new method for more completely analyzing the effectiveness of weapons, weapons systems, and tactical doctrine. It should greatly increase the number of military problems which are susceptible to scientific analysis. The method extends such analysis to include important factors of terrain, mobility, and command-control problems in a detail not hitherto practicable.

#### MISSTON

The new method essentially involves causing a large electronic computer to "simulate battle." The goal of the over-all program -- for which this report is a feasibility study -- is to produce a set of general instructions for an electronic computer which will enable the computer to calculate the progress and outcome of a combat action involving, within wide limits, (1) any desired weapons, weapon systems or other equipment, (2) any specified level of proficiency of officers and men, (3) any specified tectical doctrine and mission, and (4) any selected conditions of terrain, weather, and over-all situation. It is highly desirable (if not absolutely necessary) that these factors be described in such a way that variation of any of these factors of man, weapons, and terrain be merely a matter of changing certain characteristic numbers at the start of computation.

So that the fessibility study may be considered in concrete terms at every stage of the discussion, a particular contact action is fachicular the cutest for trial analysis.

The feasibility of the method does not depend upon the details of the example selected.

The usefulness of a "battle simulator" in analyzing the effectiveness of new weapons, weapons systems and implical dectrices, is celf-evident. With increasing numbers of new weapons with radical or unproved case? 11 ties begowing available to all military forces, the problem of assessing their true worth becomes ever more difficult. Tactical and organizational innovations which say appear desirable to fully exploit new weapons may cause unexpected chain reactions throughout the organization which could nullify the expected improvements. As the tempo of battle steps up, the command-control-communication system becomes ever more critical. As the weapons showselves become more complex the nature and degree of logistical support and training required acquire a critical bearing on the selection of the best weapon system.

Faced with a potential enemy with essentially different resources of his sewand, the comparison of US military capabilities with Seviet capabilities appears in its most intractable form, since dissimilar forces must be compared.

Finally the potential violence of the initial stages of comtat pats the most severe requirement on the thoroughness and accuracy of weapontactical analysis in advance of that combat. More than ever before, the may be inching after during begins to correct errors revealed by the test of contest.

The problems requiring analysis whith are discussed above are in the form last susceptible to conventional analysis. Summerizing, their general characteristics are:

- (1) Sections and Organizational isogrations involved.
- (2 Increasingly critical interdepositions of verposs tomassications locations and training.
- 3. Comparison required between theritaliar multiury forces and among dusticities tectical and strategic alternatives.
  - 4. Stakes are higher

The present study has as its primary mission to provide an improved anthodology for dealing with problems of those types.

In the following sections, BAND FACTO, DOPZ, AND ACCUMPTIONS, this memograndum derives the general restrictions which limit, or influence, the type of sombut action and the way i is analyzed.

There follows in the meetion, FILITARY DESCRIPTION OF DATTLE, a description of the Krisl combat action to be analyzed and the reasoning used in reducing the combat action to its basic components of fire and manager.

The next meetion. THE COMPUTER NATTIE, applies this reasoning and the capabilities of the computer and completely describes the way in which the computer simulates the trial combat action.

Following this the section, RESULTS OF TEST BETTIES, gives the results of 121 trial bettles carried out by the computer. The lessons to be drawn from these results are described.

In the next section, PROPOSED APPLICATION TO T/GEE and TACTICAL STUDIES, a modified and much improved model of tettle is described which appears capable of simulating the tettle action of small units to a degree sufficient to warrant its use in future analysis.

The last section, CONTLUSIONS, states the conclusions to be drawn from this feasibility study. Because the present memorandum is exclusively concerned with describing a new methodology, that is, a new way of carrying out military analysis, there can be no formal recommendations for action, as there are in memoranda which present a solution to some particular problem.

## FACTS, SCOPE, AND ASSUMPTIONS

The discussion which follows has the purpose of identifying the mecessary and desirable features of the proposed sathedology in general, and this feasibility study in particular:

In the first section — Gioro — the necessary scale of the costat action to be used in developing and reating the methodology in this feasibility study is derived. In the second section — The facto Area were—
is developed the point of view applied throughout the study. In the third section is derived the length of time the computer may be permitted to calculate a given tattle.

Finally, the general characteristics and capabilities of selected electronic computers are described.

With the end of this section the general characteristics of the methodology and the manner of its testing and use are established.

#### Scope

This feasibility study should use the simplest possible trial combat action. On the other hand, the combat action to be analyzed must be large enough to be self-contained; that is, it should include all the feators which influence the battle ence the forces are joined. This means that, if the action is to include tanks, the battlefield must be large enough to include all, or most, of the elements which interact with the tanks. That tanks should be included in this study is suggested by these considerations:

- 1. Tanks represent the largest capital outlay and one of the major logistical problems of the Army.
- 2. The cost of carrying out analyses of the type proposed by this study is probably justified only for the most pressing and important problems.
- 3. Tanks are the combat elements most severely restricted by their mechanical characteristics and thus are more susceptible to mathematical analysis.

If tanks are to be included, then the smallest self-contained buttlefield will be of a size comparable to the maximum effective range of their guns, i.e., about 1 to 2 miles on a side.

A reasonable combat action on such a battlefield could involve about a company of tanks but hardly a smaller unit. Since the smallest reasonable action is desired the above discussion fixes the scale of the action.

Even at this small scale, operation of tanks without infantry is improper, and some indirect fire weapons should be included.

A complete combat action on such a battlefield gould conscitably be completed in as little as 30 minutes, if the action were of sufficient intensity. In this case the action would not involve logistical problems during the action and they could be properly left out of this first study.

Similarly, all shilkes could be left out of this first study, since their influence is more easily assessed by over-all considerations. Also the much larger range of TAC miroraft means that assessing the influence of alternate allegations of strikes during an account, requires that the sembat action be of much larger size.

These considerations therefore define the combat action which should be analyzed as the test vehicle of this feasibility study.

Summarizing, the combat action should

- 1. Smphasize tanks;
- 2. feature intense action -- lasting about 1/2 hour;
- 3. include company=sized units with reasonable attachments of infantry and include fire weapons;
  - 4. take place on battlefield of one- or a few-square miles.

#### The Basic Assumption -- MONTE CARLO

The most basic assumption made in developing this methodology is that, to be a successful battle simulator, the model of battle used mist refer directly to the individual participants in a combat action — at least so far as the major combat elements are concerned. In the previous section, tanks were solucted as the combat element to be emphasized in this leasibility study. Thus, it is assumed to be necessary to treat the tanks individually. That is, their movement, firing, and all other actions must be treated as individual and separate actions — not averaged out over a platoen or other tactical unit.

This assumption appears attractive for at least three reasons. Firstly, the physical characteristics of weapons are (usually) best determined on an individual basis and are (usually) the most accurate information available. The results of calculations starting from such data are apt to be more believable than calculations starting from loss well-known data.

Secondly, the proposed methodology will be the more flexible, the more readily are weapons and equipment added, altered, or removed from the waspon systems. It is more convenient to do this when the battle model includes the weapons and their characteristics explicitly, than when wespons and equipment must be dombined in some average way before insertion into the model of battle.

Finally, one of the primary purposes of constructing this new model is to render the interactions between weapons susceptible to calculation. Thus to the extent that these interactions are "averaged out" prior to insertion into the model, they are not subject to analysis and part of the purpose of the mathodology would be frustrated.

Some compromise must be expected in this regard. The computer does not have an infinite capacity to treat <u>all</u> weapons and other equipment separately. The compromises which will be necessary in this connection will grow out of specific limitations of the computing machinery. They will be considered only as and when the need arises.

Summarizing, the basic assumption states: "an many as possible of the vessions and equipment entering the tattle should appear separately and distinctly in the bodol."

When describing separate actions of an individual combat unit, e.g., a tank, it appears inescapable that probabilistic notions are required. Thus, with a given round, a tank will either hit an enemy tank or it will fail to do so. The difference between various tanks in this regard can only be in the probability of a hit.

It will now be argued that it is a natural consequence of the basic assumption stated above, that the use of conventional mathematical formulae is not feasible for constructing the desired model of battle. Or more properly, there is a feasible alternative to the use of conventional mathematical formulae. Reduced to its simplest form, the argument is that the use of mathematical formulae is a shorthand for describing an observed or proposed regularity in nature; but this is precisely the feater which is currently lacking in considering the influence of new yeapons, new weapon systems, new tactical describes and the dissimilar military resources of the opposing powers. It is to discover new regularities in large military operations that a new methodology is required.

There are many cases where the regularity of the laws of combat are clear enough to warrant the use of mathematical formulae; it may be that future research and analysis will uncover even more extensive regularities in combat of a type which can be accurately described by convenient mathematical shorthand. But the express purpose of the new methodology is to extend analysis into aemplex areas which have not yet been tractable to conventional analysis.

This point of view would not be a very useful one if it were not that fast electronic computing equipment now makes feasible a nonmathematical technique for analysis which does not require the name degree of observed regularity in combat. This technique is called "MONTE CARLO." It has been used nuccessfully for the solution of certain very complex systems of interest to nuclear physicists. These systems are characterized by very complicated probability "equations," comparable to the probabilistic concepts which will be found necessary to describe the actions of individual tanks on a battlefield.

The essence of Monto Carlo type calculations is easily understood.

A simple example is possible in connection with unpire decisions for determining the progress of a battle in a CPX map exercise. Thus if the outcome of some small notion within the CPX is considered by the Umpires to be known in terms of a probability but not worth further detailed study, they might make their decision on the basis of flipping a coin. For example, in a CPX at Corps level, many individual companies may be given a 50-50 chance of taking their objective on the first day. A decision on whether each company did reach its objective might then be made by flipping a coin for each case. If there were a large number of such 50-50 propositions, the feeling might be that the influence of chance in the coin flipping would

average out in the long run. It is more unlikely that umpires would be willing to use such a means for decision if there were only a few auch cases.

This is an example of Monte Carlo type calculations. A computer can be caused to do something very similar to flipping a soin. It can also "use loaded coins" associated with any desired probabilities or odds. Appendix A reviews the most important background of Monte Carlo type calculations and goes into some dotall about the method used for causing an electronic computer to make such calculations.

There is a second reason for developing a methodology which uses Monte Carlo calculations. The command-control-communication-desisted process necessarily included in a battle simulator — a "Monte Carlo Computer Battle" — is a system which intimately involves human thought processes. Human reasoning appears to depend more on a system of "logical computation" than on an exithmatic or mathematical system. It will be shown that the Monte Carlo system of calculation is readily applied to a system including many logical (human) thought processes; while a methodology depending basically on conventional mathematical formulae appears very inadequate.

Again a compromise between the use of Monte Carlo operations and conventional mathematics will be found necessary from time to time. Such compromises will result from the timing capacity of the computing machinery. They will be discussed and received in each particular case, as and when the need arises.

#### Time Limitations

The one remaining major Festriction on the scale of the trial combat nation is the length of time which can be allowed for the computer to fight through a single battle. This does not depend upon details of the mathodology. It depends only upon the way in which the methodology may be audited to the solution of military problems and upon the decision to use Monte Carlo typs calculations.

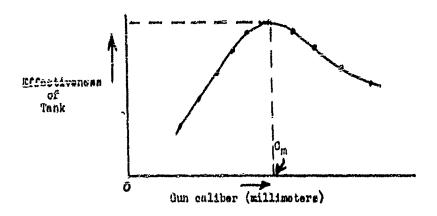
Appendix A given a detailed description of the manner in which the rreposed methodology would be applied to a thorough analysis of the influence of some given factor in the bettle. In the example discussed in Appendix A it is supposed that the factor under analysis is the type of gum to be mounted on a tank, when all other major design features of the tank have been fixed, The only factors to be varied in the series of computer battles are those directly relating to the choice of gun. These might be expected to be (1) killing power of gun, per shot, as function of range and target type;

- (2) rate of effective fire; (3) size of base load of ammunition.

One procedure for this application would be to determine the variation in the "effectiveness" of the tank in one or more combat situations as the "mower" of the tank gun is varied. Supposing that an acceptable ascaure of "effectiveness" if available -- this might be the average number of enemy tanks killed by each friendly tanks and supposing that the variation in

This is the definition of the "effectiveness ratio" used in ORG-T-313 by M. Orabau and V. MoRae.

gun power is properly manured by gun caliber alone, the results of a series of computer battles might be expressed by the following type of graph.



Such a result would indicate the "most effective" gun for the tank, in the particular combat situation used, should have a caliber of "Q" millimeters.

If other combat situations lead to different "best" choices of gun caliber, then a compromise would be required.

It is assumed that the complete analysis can consume no more than 6 months to a year. Certain additional assumptions are made about the number of battles required for each trial of a given tank gun, including variation in the tactical situation as well as additional calculations which tend to confirm and check the results.

The over-cll program required to determine the military consequences of a variation in tank gun type is therefore shown to involve perhaps 10,000 repetitions of the battle. It follows that each battle can consume no more than a few minutes for its calculation, on the computing rachinery used for the analysis.

It should be empleatized that the example discussed in Appendix II is not the only way in which the methodology may be applied to analysis.

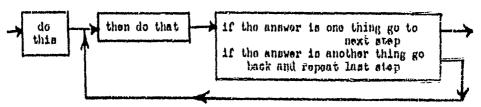
Instead it represents what is thought to be the most extreme case about possible applications and therefore results in the most stringent limitations on the time which should be available to the computer.

Since the computing machinery which will be used in the application of this methodology is at loast 10 times as fast as the ERA 1101 computer used in this feasibility study, a time limit 10 times larger than that calculated in Appendix B can be used. Thus the average computer battle on the 1101 computer should be completed within 20 minutes. Since the basic assumption was to insert as much of the battle participants and equipment as was possible, no lesser time will be considered for purposes of this feasibility study.

# Cambilities of Electronic Computers

Certain military facts and analytical assumptions have been described which strengly influence the methodology. The nature of the proposed methodology also depends critically on the capabilities of the computer.

Nature of Computers in General. The essential difference between a deak calculation machine and the electronic computers used for computer battles is the "automatic" nature of the latter. That is, an electronic computer not only can add, subtract, multiply and divide, but it can also be instructed to perform a long series of such operations in any desired sequence with no further attention required from the human operators. It can be instructed to carry out such an extensive number of mathematical operations that a special means — a "flow diagram" — must often be used to show clearly the order in which these mathematical operations are performed by the computer. A flow diagram will have the following general character:



Each block indicates some simple computation which the computer must carry out. The arrow(a) leading from a box block indicate the next operation. By following along the arrows in a flow diagram every step of the computations can be traced out. In principle, such a flow diagram relates only to the logical atructure of the computation itsulf, and not at all to the particular computer for which it is designed. In practice, however, the particular way in which the over-all problem is broken down into simpler parts, will depend upon the special characteristics of a particular computer.

The computer itself can be described generally without detailed reference to the "nuts and bolts" of its construction. Thus all general-purpose electronic computers can be considered as composed of 4 functional subgroups. These are:

- The ARITHESTIC unit(s). (More the adding, subtracting, etc., is actually carried out)
- 2. The MEMORY unit(s). (Where numbers are retained before, during, and after use)
- 3. The GONTROL unit(s). (The source of the instructions which six the ARITHESTIC unit(s) what to do next, where to get the numbers which are to be used, and where to store the answers)
- 4. The INPUT-OUTPUT units. (The machinery used by the human operator to tell the computer what to do and which numbers to use; and the machinery used by the computer to "tell" the human operator what it has done, and what the answers are)

These functional units are usually, but not necessarily, associated with separate electrical or mechanical units. In the case of the EWA 1101 computer used for this feasibility study, the physical equipment which perform the above functions are:

- 1. ARITHMETIC UNIT: About 600 ordinary (radio) vacuum tubes.
- 3. HEMMY UNIT: A rotating cylinder, covered with a magnetic substance similar to that used an agnetic tape (radio) recorders. Records yeltest pulses, interpreted as numbers. Capacity, 16,38% 7-digit numbers.
  - 3. CONTROL UNIT: About 400 more ordinary (radio) vacuum tubes.
- 4. IMPUT-OUTPUT UNIT: IMPUT is by paper tape having holes punched in it by a special typewriter. OUTPUT is by the same type of paper tape and/or a direct connection from the computer to a fast electric typewriter.

The possibility and coverieses of value other computers with additional equipment carrying out these 4 functions will be considered in the last section — FROPOSES APPLICATION OF METHODOLOGY TO T/OME AND TACTICAL STUDIES.

The computer can be caused to perform any stated sequence of arithmetic operations (add, subtract, multiply and divide) and certain special forms of these arithmetic operations, usually called "logical" operations. These will be more completely described in later sections.

The exact procedure followed in the use of the computer consists of an following stages:

- 1. The military engagement is broken down into simple understandable steps.
- 2. Each step is translated into an equivalent mathematical or logical operation which the computer can perform.
- 3. A number code is prepared which will cause the computer to carry out all the calculations in the desired order and which includes all the numbers necessary for the calculations.
- 4. A paper tape of zono length is then punched by typing the number code on a special typewriter.
- 5. The prepared paper tape is fed into the computer which then starts its calculations.
- 6. Salacted results of the objections are caused to be typed out directly onto a special typewriter as they are obtained. At the same time a more detailed record of the calculations is also punched out by the computer on paper tape which can be inspected at a later time.

However, this more detailed account of the calculations cannot be conveniently "read" directly. Usually the paper tape must be re-run through the computer while the computer re-interprets what it had originally punched out on the tape. In so doing, the computer can directly cause the special hypewriter to type out the detailed results which were stored in the tape.

In three of this memorandum, Step 2 will not have been completed until the complete "scetario" of the battle has been described.

Selected performance data of the computer is given in Table I.

# TABLE I PERFORMANCE DATA ERA 1101 COMPUTER

Memory Capacity	16,38/,	7-digit numbers
Hax. Additions (sub.) per second	10,000	
Hax. Hultiplications per second	3,000	
Total number possible distinct operations	50	
Time to fill memory from tape	7	ninutes
Digits typed out per second (or alphaintic char.)	7	

Computer Calculation. The significant types of calculations, or operations, which the 1101 computer can perform may be listed under three general categories.

- 1. ARITHMETIC OPERATIONS: Ordinary addition, subtraction, multiplication and division.
- 2. LOGICAL OPERATIONS: A special form of arithmetic, designed for carrying out a type of calculation akin to "logical reasoning."

3. \*JUP\* OFERATION: A special class of operations which makes it possible for the computer to alter its type of calculation depending upon the numerical result of some previous calculation.

Every nutrentic calculating machine has at least a few operations of each type listed above. In addition, there are other less interesting operations, which step and start calculation of the computer, rause the computer to punch or type out selected results, to "read" numbers punched into the INPST tape, and perform other accessary but subordinate functions.

Since the ERA 1101 computer has a definite list of possible operations, every step in the occupator battle is ultimately stated in terms of one or a few of these operations.

The computer battle isseribed in this zero makes important use of all three classes of operations. In general, any calculation which is expressed in terms of a logical operation could also be reduced to an arithmetic one. However, tremendous savings in time and memory capacity, as well as an increased simplicity of conception, is possible when logical operations are used. Buch attention is paid to those matters in subsequent sections of this report.

For the present, a simple example of each of these three basic types of operations is given, after which the combat action is described, dissected into its components and finally translated into a series of instructions for the computer in terms of those basic operations.

a simple arithmetic operation which the computer dould be caused to carry out might be: "add the number of Blue tanks killed (number is stored at piace X in memory) to the number of Red tanks killed (number is stored at

place Y in memory) and store the sum at place Z in memory. It takes 3 separate steps for the computer to perform this simple addition.

- Step 1. Take number stored in place X and put into "adder."
- Step 2. Take number stored in Y and put into "adder."
- Step 3. Take sum now in adder and put into place Z.

There is a special number code which is used to cause the computer to perform each stop.

A simple Logical Operation which the computer could be caused to carry out might be "there is a n-cber, composed of 5 digits which may be either l's or O's, e.g., 10110. The first digit is a 'l' if the first tank has been killed, an 'O' otherwise." The second digit is a "l" if the second tank has been killed, it is a zero otherwise; and so on for the interpretation of each position in the number; with the third digit relating to the third tank, the fourth digit to the fourth tank and the fifth digit to the fifth tank. This 5-digit number is stored at the place X in the computer's memory.

Question: is the third tank dead?

- Step 1. Take number in X and put in "adder."
- Step 2. Take number 1. Y (number 1s 00100) and put into adder; form the "sum" of the number in X with the number in Y using the convention that the number expressing the sum will contain a 1 in a given position if both the number in X and the number in Y have a 1 in that same position. Otherwise the digit in that position in the "sum" is to be a zero. Carrying this out shows:

number in X 10110
number in Y 00100
"aum" 00100

Step 3. Is the "sum" in adder different from sero? If it is then the third tank is dead.

The above example identifies the number resulting from combining the number in X with the number in Y as a "sum." logicians call it the "logical product." This type of operation plays an extremely important role in the computer battle. It is discussed in much greater detail in the next section. There is a special number code which causes the computer to particular three stars in about 10,000 of a second.

A simple himm" operation which the computer may be caused to calculate aight be "stop the computations and type out the letter R if the total number of tanks killed (calculated in the above example of an arithmetic operation) is as much as 17." The steps for carrying out this calculation are listed below.

- Step 1. Put the number in place U (this is the number 17) into the adder.
- Step 2. Subtract the number in place ? (this is the total number of tanks killed computed in the provious example of an arithmetic operation) from the number in the adder. The adder now contains the difference between the number in U and the number in Z.
- Step 3. Test the size of the number in the adder. If it is exactly zero go to Step 4. If it is not zero go to Step 5.
- Step A. Cause the typewriter to type out the letter algoritied by the number in place W. Then step the computer. (Note: The place W must have inserted into it before the stare or the computations that number which will cause the typewriter to print ORS.)
  - Step 5. Go to the next proper step for continuing the battle.

There is a precise number code which will cause the computer to carry out those steps in an little as was or a second.

A further discussion of the various operations of the computer will be deferred until after the military action itself is described. At that time it will be necessary to consider the capabilities of the computer in more detail, since important alternatives in the way the bettle is treated by the computer depend critically on these capabilities.

## SUMMARY

This completes the consideration of the general features and restrictions of the proposed methodology. The remainder of the study will develop the methodology within the limits imposed by the facts, restrictions, and assumptions now identified.

#### COMBAT ACTION AND ITS ANALYSIS

A combat action is described which fits the general requirements developed in the introduction. The action is analyzed into its most elementary components of fire and manauver. The computations are described which scintify a sengible sequence of these activities.

The general characteristics of an electronic computer are considered along with the analysis only where necessary. The computer characteristics and capacity for calculation included in this phase of the discussion serve as guide lines for the analysis. Thus the particular way in which the complete combat action is dissected into simpler actions reflects in some measure the types of calculations for which the computer is best suited.

# The Military Situation

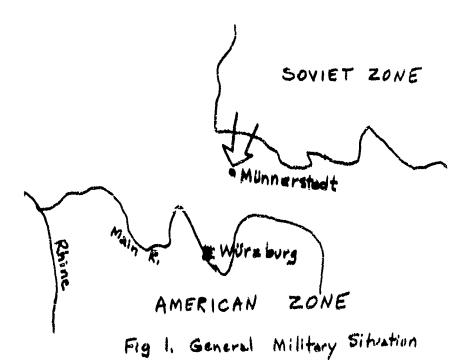
Col. C. Billingsiss formulated the military situation which might result in a combat a tion having the characteristics described in the Introduction. It is a hypothetical situation constructed for these special purposes and is not presented as being either a typical situation in some future war her as representing a typical mission for the troops involved.

A heavily reinforced Blue infantry tattalien is given the mission of delaying a Red mochanised Corps, in column, for a period of 12 hours at Minnerstadt, which lies about 30 miles send of the Bonal (Bast German) boundary at Meiningen on a railroad line to Wirsburg (Figure I). Delay is to be effected by forcing the Red Forces to deploy under heavy fire at the river line which is the northern boundary of Minnerstadt.

<sup>\*</sup> Military Advicor to MO during early part of study.

The infantry battalion is supported by a medium tank company of M-48's, a heavy mortar company and a battery of 105mm howitzers. Fig. 2 shows the troop disposition.

Before the battalion had fully occupied its position in and about Momeratedt, the point of the main Red column approaches and is brought to a sea to tender fire. The Red point begins to deploy, sending a strong force to treas the river on the right flank of the position. Red combat engineers succeed in quickly erecting a temporary bridge, and a company of 10 Temporary of according a company of infantry cross the river and scemble on a hill nearby. They can now bring direct fire on much of the road south out of Münnerstadt along which the Blue forces must soon withdraw. Further, they will quickly attempt to sub that road in an enveloping maneuver.



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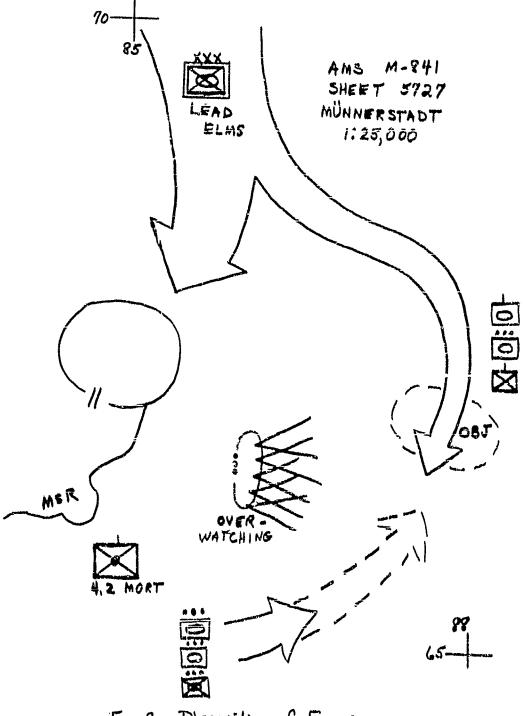


Fig. 2 Disposition of Forces

In the face of this threat, the Blue forces commit their reserve tank company reinforced by 3 squade of intentry mounted in 3 armofed personnel carriers (a "scratch" force, since T/OSE does not include carriers). The mission of this force is to push the Red force back across the river in proparation for the withdrawal of the Blue forces in Mannerstadt. The resulting armored assault is the action programmed for the computer.

## The Battle

The tactics of the counterattacking Blue force are to provide (1) an assaulting group composed of 2 platoons of M-48's (10 tanks) and the platoon of intantry (3 armored vehicles, one squad each); and (2) a covering force (overwatching) composed of the C.O., F.O., and one tank platoon (total 7 tanks). In addition the company of heavy mortars (4.2 inch) is available as support. The remainder of the Blue force is heavily engaged elsewhere with the Red point.

The assault group moves towards the Red bridgehead, keeping in the draw (Fig. 2 ) as far as possible, then making a frontal assault. The overwatching tanks provide support fire from cover and concealment at a range of about 1500 yards.

The Blue infantry dismount from their carriers when the Red Position is reached. The Blue Mortar fire is also lifted at this time. The mission of the Blue forces after reaching the Red position is to move on through the Red position, firing as they go. Since the battle <u>must</u> feature intense action lasting a half hour or less, to meet the requirements generated in the Introduction, no further mission for the Blue forces is stated.

## Components of the Pattle: Fire and Maneuver

The basic actions, which taken together comprise the over-all battle, have long been identified by tacticians with the phrase "Fire and Maneuver."

The way in which individual actions of firing or moving are arranged in time and space is the result of the commander's application of doctrine and his own good sense in competition with the doctrine, good sense, and capabilities of the opposing forces.

The necessary sequence of analysis followed by this memorandum is therefore seen to be:

- 1. Identify the nature of the basic capabilities of the individual combat elements on the tattlefield;
- 2. develop a system for the computer to compute the basic actions on a battlefield from data about the carebilities of the individual combat elements:
- 3. provide the means for the computer to affine the possible basic actions of the individual combat elements into a sequence of Fire and kaneuver activities reflecting the sense of any stated testical destring.

This study carries out the above three setps for the trial beatle described only to the extent required for demonstrating the feasibility of the methodology.

Firing the main tank gun appears to be the nimpler of the two basic motions when the individual tank is sensidered as a basic combat element.

Given the correct "kill" probability for the circumstances surrounding any particular shot, a Monte Carlo ("soin flipping") decision can easily be made by the computer to determine whether the given round did "kill" its

target. Thus suppose that the correct kill probability for the round is 0.4. Then if the computer chooses a number at random between 0 and 1, there is a 40% chance that the number so chose will be less than 0.4 and a 60% chance that it will be greater than 0.4. Thus the computer will be using the proper kill probabilities if it makes its decision as to whether the target was killed by the given round, if it "chooses" a number at random between 0 and 1; calling a "kill" if the number is less than 0.4, a mire if greater than 0.4.

There are various ways in which the computer can "choose a number at random."

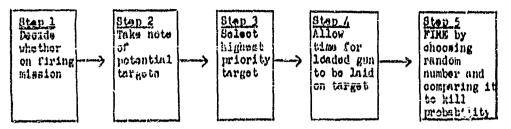
The above description clearly leaves out most important factors in the "firing" action. In particular, it is also necessary to (1) select a target and (2) decide to fire at the target.

The decision to fire or not to fire at the selected target depends on (1) whether the tank is physically capable of firing — 1.e., has a loaded gun which has been laid on the target, and (2) a tastical decision on the desirability of firing at that particular time.

The selection of a target means that the potential targets already picked up by the tank commander are made the subject of a priority system which eliminates all but one of the potential targets.

The above discussion leads to a systematic statement of the time sequence of events in an elemental action of FIRING; at least for a tank

firing its main armament. It is best summarized by the following diagram (called a "flow diagram"):



eventualities. For example, it might be argued that something may occur to change the tank commander's mind during Step 4 while the turret is being rotated. Or that Step 1 should follow Step 2, so that the decision to fire depends in part upon what type of targets are available. For the moment, however, the flow diagram just derived will be taken as approximately describing the essential character of the elemental combat action of firing the main gun on a tank. The value of stating this approximate sequence of actions at this time is that certain requirements are generated for the detailed methodology. Thus the computer battle must be capable of providing answers to a series of explicit questions for each tank on the testicitiels.

- Step 1. In the tank on a fire mission?
- Step 2. What potential targets are known to the tank commender?
- Gian 3. Which of those termite his the highest priority?
- Step 4. Now much time before the gun in leaded? In the gun corrently laid on the folioted target? If not, when will the gun be on target?
- Step 5. What is the correct kill probability for this particular situation?

Knowing that these are (some of) the quastions which must be "answered" by the computer provides a key to the types of calculations which will be required of the computer.

The above discursion was specifically pointed at consideration of a tank firing its main argument. However, the computer battle requires that two other trios of firing actions also be considered, small -yms and artillary fire.

Specifically, small arms fire is delivered by tanks against infantry and by infantry against infantry. Also mortar fire is delivered against infantry.

The mortar fire is treated as a special case in the present battle.

Only an "average" treatment is given for the 5 steps outlined for firing the tank gun. The steps are:

- 1: Point of burst of a galvo of 12 morter rounds selected at random in the general area occupied by the Red forces.
- 2. If there are infentry units within 100 meters of this point then a degradation factor is applied to the infantry strength of the unit which is a function of the cover and concessment of this area.
  - 3. The time when the next salve will be fired is computed.

Heaver, the seme systematic troutment of small arms firing in sade up is used for the main tank gun.

This is accomplished by considering the small arms fire as being lumped together into discrete units of fire which are delivered at the same rate as the main armsment for the tanks and at comparable rates for the infantry units. Since infantry units in the present battle involve more than one discrete fighting unit (more than a single man), on the average, one burst of

could arms fire would not totally destroy the entire coals infantry unit.

Instead, it would only reduce the fighting potential of that unit by a fraction. For example, under the proper circumstances, one 30-second burst of HI fire by a tank at an infantry squad might reduce the effectiveness of that equal by 1/4. Determination of the proper fraction involves not only deciding the number of essention, but a in the influence on the effectiveness of the entire equal of such a loss.

With this difference noted the general treatment of firing suggested by the 5 steps will be considered to apply to all combinations of tanks and infantry, with suitable adjustment of the performance characteristics.

Before indicating what system of calculations is used to compute the 3 steps of firing, the list must be extended to include the other basic scalar action — MANSIVER, because firing and moving are not independent actions.

It is proposed that the following statement reveals the fundamental character of these separate actions which, when taken together, comprise the whole of MANZEVER. A tank is at the position A on a tattlefield. It has the cambility of seving to any one of a number of nearby positions, B1, I2,... etc. in area brief interval of time. Formulate the rules which will permit the tank to make a realistic choice among these possible new positions.

- A list of some of the factors which must influence much a thuice are:
- 1. Desirability of remaining in progest position and firing;
- 2. direction to terrain objective:
- 3. whether or not now under enemy fire;
- A. Character of terrain differences among possible new positions; e.g., swamp, thick concequant, orest of hill, steep slope;
  - 5. prosents of enemy fire on neighboring positions.

This if these fautors are to be taken into account by the computer, a means must be provided for the computer to:

- 1. Externine character of terrain at the various possible new positions.
- 2. Iki knowledge of terrain objective.
- 3. Have knowledge of delivery of enemy fire on various positions.
- 4. Decide westher tank is to move in any event.

Thus the proposed scheme of calculation for computing the outcome of a battle must be capable of answring questions of the type listed under the preceding discussion of the elementary combat actions making up PIRE and PAREUTER.

Again the shows proposal can be applied not only to tanks, but also to infantry units. Thus a squad of infantry can be treated on the average as if it too moves from one small area A, to some adjacent area, B1 or B2 ... etc. The infantry unit will probably have quite different performance characteristics from a tank. Thus an infantry unit will be able to move over much more difficult terrain than a tank, although with a lower top speed.

Summarizing, it is proposed that the over-all combat action can be considered as comprising the sum total of a large number of elementary combat actions of FIRE or MANEUVER. A systematic statement of the components of these two elemental actions has been proposed. These statements raise specific questions about the locals; privingants, and progress of the battle which must be taken into account. Thus specific requirements on the types of Computer calculations which are necessary are generated.

# Terrain

Since both PIRS and PANSUVER have been noted as depending strongly upon the terrain factor, noither element of the ever-all battle can be further discussed until a means for inserting terrain factors into the mobile is selected.

Although there are various alternate means of including emotors of terrain we will mention here briefly only the method selected for this feasibility study.

Essentially the choice rade is to dissect the battlefield into the largest number of small squares consistent with the capacity of the computer to be used. With the <u>hattlefield</u> under consideration this results in each square being 100 meters on a side for a total of 576 squares over the entire battlefield of about 2 square <u>miles</u>.

For each square, the average terrain factors are listed and stored in the memory of the computer. These factors are average elevation, average concealment — in steps of 1/4 from completely open fields to dense forest, plus the presence of selected special characteristics such as swamp, military creat, steep #10ps, and a road or trail.

The information about the terrain atored in the manime's memory is used by the computer in answering the questions listed in the previous section relating to the calculation of cach separate combet action of FIRE or MANEUVER.

For example, Step 2 in the flow diagram for firing (p. 12h) requires that the computer "take note of potential targets." One essential factor (but not the only one) is identifying which (Snemy) units are in plain view

of the tank attempting to pick up a target. If the elevation of all squares are known, then the computer can determine whether any square between shooter and target is so high as to out off the view of the shooter. If there is one nuch square, then that particular enemy unit could not possibly be a potential target. Similarly, if the enemy unit is in the midst of dones forest, then it cannot be seen by the shooter, oven if no intervening ground interrupts the "line of sight."

Dissecting the battlefield into squares also serves to make spatific the fundamental actions of moving, which, taken together, comprise memousor. Thus, recalling the statement of the problem of moving proposed in the last section, it may be restated as "A tank is on square A on the battlefield. It has the capability of moving to any one of the eight adjacent squares (p. 136) in some brief interval of time. (It may also remain in its present position, making a total of 9 possible courses of action.) Formulate the rules which will remain the tank to make a realistic choice among these 9 possible courses of action.

Thus it is seen that, if the terrain of the battlefield map is put into the machine's memory in the form of the average terrain features of distinct (small) squares, it is possible to provide approximate specific answers to the type of terrain problems one expects in the course of computing each separate, elementary combat action:

### Dattlefield Time

Step 4 in the systematic treatment proposed for the elementary combat action of firing, requires that the computer "allow time for a loaded gun to be laid on target." Also computations of the movement of tanks and other combat units require that the proper time be allowed for the combat unit to reach its new position before the computer considers still another change of position. Thus both elementary combat actions require reference to the passage of time in the simulated battle.

An essential difference between the simulation of battle by the method under atudy here and simulation machinery long used by design engineers is the difference in the treatment of this matter of time. In the more common simulating devices there is a direct relation between the relative time the computer gives to each section of the calculations and the setual duration of the same processes. Thus a computer designed to simulate the flight of a guided missile would usually compute the curves describing the position of the simulated missile, second by second, just as the missile should actually program along its trajectory during the same time interval; that is, the "computer time" is the mains (within a nonic factor) on "real time." This is not the came in the present bettle. In the present case, buttlefield activity is assumed to be completely atopped while the computer determines what the next situation will be, Just as the ofone me be stopped during a football mann. An such as the computer has determined the next situation, it immediately skips over all the "real time" actually required for the change to take place and "stope the clock" again while calculating the effects of the most recent change and selecting the next course of action. Thus in this

<sup>\*</sup> Usually ANALOGUE in nature.

simulation of lattle, there is no connection whatever between "battlefield time" and "computer time."

The computer keeps the calculations of the various elements on the battlefield in a proper time sequence by the use of what we will call "alarm clock
words" or "clocks" for short. Ignoring for the moment cartain complications
arising from compromises made in this first coding of the battle, the
treatment of time, using the "alarm clocks" is an follows.

Each independent element on the buttlefield is assigned a memory loor ton for its personal alarm clook. This clook must have in it the statement of the time in the future at which the associated element expects next to do something; to move, or fire, or look for a target. In order to select the next tank to be processed, the computer looks at all of these "clooks" and finds the one set to the carliest time. It then assumes that time on the battlefield has resched the value of this earliest clook; it examines the situation that the selected combat element finds itself in, makes a decision as to what the element does at this time, how long it will take, if uninterrupted, and finally resets the alarm clook to the time when the element should be considered again by the computer. In the process of consummating the activity of the unit being treated it may have to readjust the clooks of other units on the battlefield. As soon as the computer is through processing one unit, it searches that the pattern untill the battle is over.

<sup>\*</sup> This contrast will have to be taken into account if an attempt is made to use this type of battle simulation for a training or remearch device where the course of the machine calculations is interrupted so that the operators can insart command decisions which have meaning relative to "real time."

Actually in the present battle each unit is provided with two alarm clocks, one governing firing and another movement from square to equare. A special set of rules is used to remove, on the average, any ambiguity caused by simultaneous moving and firing. A more reasonable tre-tesht of this factor exceeds the capacity of the 1101 computer.

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#### Analysis of Firing

With the method for calculation of the terrain factor and battlefield time established, it is now possible to complete the analysis of firing begun in a previous section. Recalling that the firing activity hymatank is represented by a systematic, five-step process, the calculation of each step can now be outlined for every tank on the battlefield.

first 1 involved a (tactical) decision as to whether the particular tank was on a fire mission. This is accomplished in the present study, by a decision made in advance of the atual computations.

All tanks will fire, given a target, as soon as physically possible to do no; except#

- 1. No firing permitted by assaulting tanks until one of their number reaches edge of Red position;
- 2. or until the clapse of 15 (battlefield) minutes after start of battle, whichever is earlier.

Step 2 involves those computations which list all potential enemy targets known at the time to the tank dommander under consideration. As already indicated, part of this step involves determining which enemy units it is possible for the tank dommander to see by reason of cover (elevation) and condesiment (foliage). Other factors involved which are trusted in varying degrees of completeness are:

1. Which enemy units have disclosed their position by fire or maneuver to any member of the opposing side, together with the changes that all units of either side will share such knowledge through the radio net.

\*This limitation was principally a practical one, so as to stay within the time limits on use of the computing machine. When firing was permitted to start with the onset of the assault, the computer calculations consumed an hour per battle, three times too long.

- 2. Which enemy units have previously been actually noted by the tank commander.
  - 3. Which enemy units are placing fire on the tank in question.

Stan 2 involves selecting among the potential targets that one which has the highest priority. The priority system used in the present battle is, from the highest to the lowest:

- 1. The tank which is firing at the shooter (random choice if more than one).
  - 2. Tank which was last target.
  - 3. Any tank (make random choice)
- 4. The infantry unit which is firing at the shooter (random choice if more than one).
  - 5. The infantry unit last fired at.
  - 6. Any infantry unit (make random choice).

Step 4 involves establishing that the gun has been reloaded and is laid on the target. Time has already passed sufficient for the gun to have been reloaded and for minor adjustments of the gun's sighting before the tank was selected by the computer for processing. This has been described in the previous section on "Establicated Time." However, if the target selected in Step 3 is a may target, then an additional time delay is required while the turret is traversed and the gun accountably laid on target. In the present battle, a constant delay of 8 seconds is allowed for this when necessary. In case this delay is required, 8 seconds is added onto the "firing clock" of the shooter and the computer stops computations for the tank. When the tank is selected again for firing, it will then have its

gu. laid on target and will be able to fire immediately, unlegs in the meantime, the target has disappeared from sight, been killed, or if another target of higher priority has become known to the shooter.

Oten 1 involves the actual firing. The main problem at this point is to determine the socrect kill probability for the particular set of diroungiances. The kill probabilities are stored in the computer's memory and depend upon the following 7 factors:

- 1. Type of shooter (weapon)
- 2. Shooter moving or not
- J. Type of target (armor -- size)
- 4. Target moving or not
- 5. Range to target
- 6. Cover and concealment of target (e.g., hull defilade, in edge of forest).
  - ?. First or subsequent shot by shooter.

The last section of Step 5 carried out 3 calculations:

- 1. Keeps track of which targets are killed.
- 2. Readjusts firing clock for shooter's next firing turn
- 3. Determines whether appoter has disologed his position to enemy.

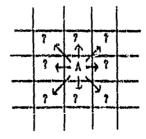
This completes the general description of the basic firing action by tanks. In the case where an infantry unit is doing the firing, the computations are exactly the same, although the interpretation to somewhat altered as has already been discussed. In the event that an infantry unit is the target, Step 5 is altered somewhat. If an infantry unit is within effective small arms range of enemy units (M.C. on tanks or rifles and

as almost cortainly suffering a few casualties if it is seen. Thus, the "kill probabilities" become for infantry targets, the factor by which the effectiveness of their unit is degraded, rather than the probability of their unit being destroyed. An exception might be for the case when the Dius infantry units are mounted in armored personnel carriers, except that in the present series of tattles the infantry dissount before the shoot of starts.

#### Analysis of Mineuver

The general factors which should influence the movement of a tank or infantry equal from equare to equire on the battlefield have already been discussed. The division of the battlefield into small equares, 100 meters on a side, has been proposed. It remains to describe the specified manner in which the terrain factors, enemy actions, and tactical decisions shall influence the movement of the semants.

It will be recalled that the over-all manager of the forces is to be considered as resulting from a large number of a simple move decisions made repeatedly throughout the battle for each combat element. "Figh elementary move decision requires that the computer determine which of the S neighboring squares until be the next position of the combat element in question; also allowing the combat element the option of remaining in its present position.



To do this, each of the neighboring squares is socred separately on its desirable characteristics. For example, one neighboring square might be allowed 25 points if movement to that square is directly towards the terrain objective. Another square might be given a score of only 5 points if movement to that square is off to one side of the terrain objective, and a square which is on the opposite side of the present position away from the terrain objective would be scored as zero, or even negatively, so far as contributed toward reaching the terrain objective is concerned.

Thus a series of scores -- or ratings -- is adopted which is to be associated with each square on various accounts of its possessing desirable or undesirable terrain features, or exposure to enemy fire, or any other factor which is thought to contribute to the desirability of movement to that square. By totaling up all the individual scores on different accounts for each square, a number is associated with each square which is the higher, the more desirable movement to that square at that particular time appears to be, at least insofar as the tank commander can determine. At this point it would be possible to have the computer select as the next position for the computer unit, that square which has the highest rating. It is of the most basic significance that this has not been done to this feasibility study. It is essential that the reasoning behind rejecting this possibility receives the most careful attention.

There are several different reasons for rejecting the above proposal, -and several different ways of looking of those reasons. One way of putting
it is to assert that all performance data to be inserted into the battle must
be capable of being determined by field experiments or by a study of history.

But it should be clear that, were a number of different tank commander product the same position on the battlefield, under identical directances has far as they could be determined, all the non would not choose the same (or even the same general direction) as their next position. Yet if his computer sliggy chooses that square which acquires the highest rating; throughout many different buttles, it would be asserting that all you would do the same. Thus, the ratings could not be completely determined by experiment, not even in principle, since in the experiments there would supely be some variation in choice among different men.

Another way of looking at the same problem in to consider what would happen if there were 2 squares in quite different directions which had nearly the same total rating, e.g., differed by only 1%. If the computer always chose the square with the highest rating, then this is tentame and to asserting that the rating numbers are no accurately known that it is reasonably certain which is the more desirable. It would seem to be everly optimistic to assert that experiment in (or history of) such a complete matter could ever produce answers with such certainty.

A third way of locking at this matter in to consider whether it my be important to to Jetarning the influence on the automa of a backle of an insulation. Thus it might be argued that venpon system A in better than weapon which because A functions better with man who have received only a mouths. A training than does B, elthough if all men could receive a vental of training a would be the better choice. In other words, the extent of the variation in the response of different vehicles to the same attention might be considered as related in part to the thoroughness of training.

Each of the three points of view presented above points towards the line equator of the system where the computer always chooses that square of the system where the computer always chooses that square of the simplest alternative to such a fulcion of galues the instant to interest the retire numbers as the relative which the count alternative with which the count alternative with fulcion the greatest study.

in the other hand, there will undoubtedly be some situations where it is desirable to remove even a slight chance of moving into some particular square. This is accomplished in the present battle by allowing paratical ratings to be as igned for certain special situations. If those negative wallies are made large enough they can certainly cancel out any results positive score the square might acquire from other considerations. The computer then is instructed to consider only positive ratings as a valid relative probability, hence there is no chance of selecting that (negative value) square.

There is also the possibility of suspending the entire rating process in emergency cases and making selection of a particular square a certainty. This has been done in the present tattle for the special case where a tent has livel moved from a covered (or concealed) position and has been fired on.

This has the tank always returns to the covered position.

Thus the methodology is classical enough to permit considerable modification of the meneuver calculations should that prove desirable for apocial same:

Summitting, a military situation has been described which results in a small combat action meeting the requirements developed in the introduction. The combat action itself has been dissected. Into small pieces of terrain and combat actions. A series of precise calculations and decisions have been proposed which, taken together, are a systematic means for calculating the outcome of each separate elementar, combat action of FIFE and MARSUVER.

Finally, a system for Marping track of the passage of intelligical time has been described which will parmit the computer to keep a sensible sequence in the order in which the separate elementary combat actions are computed.

#### HESPILIS OF TEST BATTLET

Testile of the trial calculations are required for two purposes:

- (a) To establish the opread\* of battle outcomes deriving from the nature of the model of battle, and from the appear of results to escoss the statistical reliability of average battle results.
- (b) To establish the consitivity of the average battle outcome to a significant alteration in the performance characteristics of the Blue \*-mb\*\* only.

Once these two persenters are determined it is possible to specify the number of reputitions of the battle that are required to indicate, for instance, the batter of the two tank designs.

The principal results of the trial calculations are applied in this section to this determination.

# Spread of Battle Results

The most basic characteristic of the model of battle described in this memoriandum is the influence of the play of chance that is included. Figure 3 shows the variation in the number of tank casualties suffered by the Blue side, equipped with medium tanks, in 50 battle desculations that differed only by virtue of the play of chance. This figure also shows the variation in Rod Tank losses (T-]4's and SU-100'2' during the same 50 battles. Although on the average Rod suffered 7.1 tank casualties per battle compared to Blue's average losses of 10.4. It is evident there were many departures

<sup>&</sup>quot;"Spread" as used here is equivalent to the standard deviation of the distribution. For normal distributions this is the interval about the mean which includes 63 parcent of the cases. Table 1 gives the spread of all the casualty distributions presented.

from this average. Figure 5 shows that in 6 of the 50 battles the Red losses were actually larger than the Blue losses. This fact is indicated in Figure 5 by the 6 points above the dashed line, along which this losses on both sides are identical.

If the number of bettes were increased beyond 50, the spread in tank lesses imitated by Figure 3 would in all likelihood not be changed significantly. There is only 1 chance in 1000 that it should vary by sure than plus or winus 30 percent. Hence the degree of spread in the results is mainly characteristic of the battle model and the performance characteristics of the man-weapon teams alone.

# Testing Competing Tank Designs

The important corollary to the spread in results effected by any given weapon design is the concenitant number of times the battle computations must be repeated to reveal differences among competing took designs.

To investigate this feature of the mothodology, 50 additional battles were computed for the case where the Blue medium tanks were replaced by the same number of hypothetical light tanks. All other features of the battle situation remained as before. Figure 6 shows the distribution of harder of tank casualties experienced by both sides in this second series of battles. On the average, Red lost 8.h tanks in each battle, whereas blue lost an example of 4.5 light tanks per battle. Thus, exceed on the average number of tank casualties globs, the Blue hypothetical light bank was more effective than the Blue medium tank. In particular the average effectiveness ratio<sup>8</sup> for the

<sup>\*</sup> A simple definition of tank effectiveness has been used by Y. McRae and A. Goox in ORO-T-278, "Tank-ve-Tank Combat in Korea." There, tank effectiveness was defined as the ratio of the average number of enemy tanks killed by each friendly tank to the average number of friendly tanks killed by each enemy tank. Other definitions of effectiveness nave been projected, including cost effectiveness, rich includes the elements of production and logistical costs.

Blue medium tank battles was 0.6 (to the disadvantage of Blue) whereas for the hypothetical Blue light tank the effectiveness ratio was 1.11 (to the advantage of Blue).

It is at this point that the degree of spread in the number of tank essualties in the various battles must be considered. The two effectiveness ratios 0.61 and 1.16 calculated above are statistical approximations to the "correct" values that would have been produced had the battle computations been repeated an "infinite" number of times. Thus there is always the chance, however remote, that both these numbers are so much in error that, in fact, the Blue light tank is actually less effective than the Blue modium tank. It is possible to reduce the risk that such an erroneous conclusion would be drawn to any size however small, at the expense of increasing the number of test battles.

Application of standard statistical tests on the reliability of these results shows that the odds are overwhelming against (better than 360,000:1) the possibility that either one of the two series of 50 battles incorrectly identified the winning side.

The conclusion is that a sample size of 50 battles was sufficient to demonstrate the superior killing powers of the Red tanks in this series of battles. Indeed, a substantially reduced number of repetitions would probably have been acceptable. Pigure is shown what the average lesses for the Blue medical tanks would have been had the battle calculations been stopped after each of the 50 battles in turn. From this figure it is found that the

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For practical purposes, "infinite" can be taken to mean a very large number, e.g., 1,000,000.

computed offectiveness ratio varies by maly about and percent so the number of hattle computations is increased beyond 30. It is evident that sequential sampling techniques may be applied to minimize the quantity of calculations.

The provious discussion does not require that the distributions of tank losse shown in figures 5 and 6 be normal. However, in view of the musual character of the distribution of had enqualties shown in figure 3, a test on the statistical hypothesis that each of the four distributions was normal gives the results shown in Tabie 1. The results show that all four distributions are well within the 0.05 level of significance. If there were serious concern regarding whether these distributions may be approximated by normal error curves, then an appeal to statistical rigor could only be supported by the results of additional computer calculations.

TABLE 1.

STATISTICAL TEST ON THE SIGNIFICANCE OF OBSERVED DEVIATIONS FROM NORMAL ERROR CURVE FOR FOUR DISTRIBUTIONS OF TANK CASUALTIES

Category	Mean losses	Standard deviation	Prebability of observed departure from normal curve by chance alone
Blue medium tank báttles Blue light tank battles	10.428 7.085 6.169 8.363	2.71	0.95 0.15 0.21 0.29
#Blue (Fig. 3) b/Red (Fig. 3)		(Fig. 6) (Fig. 6)	

Fourteen additional battles were computed for the case whose the films forces were equipped with a hypothetical heavy tank. The Blue forces were

<sup>\*</sup> So long as the probabilities are greater than 0.05 that the observed deviation from a normal curve could be due to chance alone, the assumption that the distributions are normal is tenable.

the winners in terms of casualties in this series of battles, losing an average of 5.4 tanks per battle compared to the average Red losses of 8.8 tanks per battle. The sumple size of 14 is so small as to cost doubt on the reliability of the results however.

The appolusion is that a series of 50 battle calculations for each tank design may be expected to be sufficient to identify the superior tank design features in the present instance when significant variations in major tank design features are assumed.

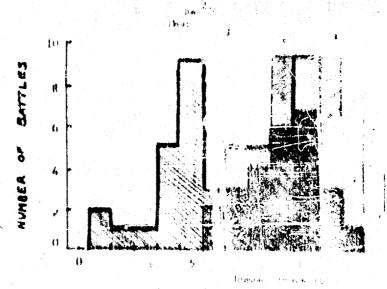
# Discussion of Results

It must be emphasized that the superiority is stated only in terms of some battle result that it has been agreed will indicate superiority. Clearly there are different aspects of superior performance. For example, in the preceding calculations, relative tank killing power has been used as indicating superiority. Other factors could have been used in its place. Thus, superior Blue performance could have been measured solely in terms of the destruction of the Red forces regardless of the Blue losses sustained in the attack. Or superior Blue tank performance could have been taken as being indicated solely in terms of the number of Blue tanks that was about to reach the terrain objective. Or any combination of these features could have been used to measure superior performance. The purpose of this feasibility study is not to form the oriteria of superior performance but to provide the measure for simulating battle so as to permit identification of superior performance onco it has readed.

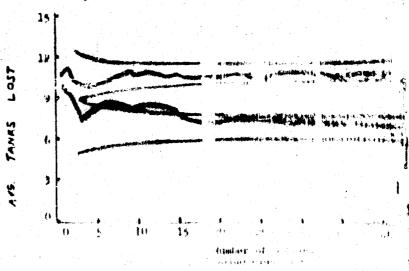
# Conclusion

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The Monte Carlo technique enables a very large number of battle factors to be introduced into a feasible analysis of the performance of alternative weapons and weapons systems. The number of battle factors warrants designation of the computing system as a battle simulator.



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Elgino () Obitable (8)